What determines the research performance of staff in New Zealand's tertiary education sector?

An analysis of the Performance-Based Research Fund

Quality Evaluation

Report

What determines the research performance of staff in New Zealand's tertiary education sector? An analysis of the Performance-Based Research Fund Quality Evaluation.

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1 Executive summary

Previously, analysis of the research performance of staff in the New Zealand tertiary education sector was limited by a lack of available data and universally accepted measures. However, the introduction of the Performance-Based Research Fund (PBRF) has resulted in a dataset that allows for more detailed analysis of the research performance of staff.

This report uses multiple regression to analyse the research performance¹ of tertiary education staff across a number of dimensions, using PBRF data. These dimensions include the quality of research outputs (RO), peer esteem (PE), contribution to research environment (CRE), an overall weighted measure of quality (OQS) and the probability of staff achieving a level of performance that attracts PBRF funding (staff that attract funding are referred to as 'quality weighted'). The advantage of using multiple regression is that it enables us to control for a subset of explanatory variables and examine the effect of a selected independent variable.

The PBRF dataset allows for the impact of a number of staff characteristics (ranging from demographic information to employment information) on research performance to be analysed, using logistic and ordinary least squares (OLS) regression. However, some factors that are likely to impact on research performance, such as teaching load, were unable to be included in this analysis. The impact of this is that factors included in the model may possibly capture the effects of the omitted variables, resulting in biased results.

The logistic regression analysis uses a dataset that includes 95 percent all PBRF-eligible staff. However, the OLS regression uses a dataset that only contains data for those staff that had evidence portfolios assessed by the review panels. This amounts to about 70 percent of PBRF-eligible staff. Therefore, the results of the OLS analysis should not be interpreted as being representative of the entire PBRF-eligible workforce, but are instead representative of staff that had evidence portfolios peer reviewed.

The regression analysis identified several factors that appear to impact upon the research performance of staff. The age of staff was a factor in research performance. After controlling for other factors, younger researchers aged in their early to mid-20s generally exhibited the lowest levels of research performance. As staff in this age bracket would have found it difficult to have established a strong record of research performance, this result is not surprising. Research performance then tends to increase rapidly with age before appearing to peak for staff aged in their late 30s and early 40s. There would then appear to be signs of a fall-off in research performance for staff aged over 40, before there is a slight upswing for staff aged in their mid-60s and over. A possible reason for the fall off in research performance for staff aged older than in their mid 40s is that administrative and/or supervisory tasks are likely to be greater for this age group, reducing the time available for research.

1

¹ Note that research performance refers to the quality of research.

Subgroup analysis showed that associate professors exhibited a slight negative linear relationship between age and research performance. It also appeared that age had only a minor impact on the research performance of professors.

The gender of staff had an impact on the allocated OQS and RO score, with men achieving a slightly higher level of performance than women, holding other factors constant. This was especially the case for lecturers. Generally, gender had no statistically significant effect on the PE and CRE scores allocated to staff, although, in the case of associate professors and senior lecturers, women had a higher level of CRE score than men, once other factors (such as TEO, subject area and age) were controlled for.

Generally, ethnicity had no statistically significant impact on the RO scores and OQS allocated to staff, holding other factors constant. In the case of the allocated PE score, Māori staff performed better than staff from the other ethnic groups. Generally, Asian staff received lower PE and CRE scores than the other ethnic groups.

Analysis of the employment characteristics of staff showed that the higher the full-time equivalent (FTE) status of staff, the higher was the level of research performance, controlling for other factors. Additionally, positions with more of a focus on research generally had a higher level of research performance. Of the main positions, professors generally had the highest level of research performance, followed by associate professors, senior lecturers and then lecturers.

The performance of staff was influenced by the tertiary education organisation they were working at. Generally, staff at the more established metropolitan universities such as Auckland, Canterbury, Otago and Victoria had the highest level of research performance, once other factors had been controlled for. In contrast, the performance of staff at the newest university, Auckland University of Technology (AUT), was generally significantly lower than that of staff at longer-established universities. As AUT was only granted university status in 2000, it does not have the same research history as the older universities and the relatively weaker performance would be expected.

Overall, staff at the participating polytechnics, wānanga and private training establishments (PTEs), where degree teaching may form only a fraction of their teaching load, tended to perform less well than staff at the universities.

The subject area of staff was found to impact on research performance, keeping other factors constant. Overall, subjects in the science area generally had the highest level of research performance. In particular, staff in subjects such as ecology, evolution and behaviour, earth science, anthropology and archaeology and human geography performed well. A notable feature was the high relative performance of these staff in terms of the scores they received for PE and CRE. Staff in the areas of philosophy and Māori knowledge and development also performed well.

An additional finding was that staff in some subjects could perform well in one measure of performance, but not in others. For example, although staff in the area of visual arts and crafts performed well in terms of their RO score (especially males), they performed less well in their PE and CRE scores.

2 Introduction

Before the introduction of the Performance-Based Research Fund (PBRF), analysis of researcher performance² in New Zealand was limited by a lack of appropriate data and a lack of universally accepted measures. Past analysis, therefore, focused on measuring the average performance of staff at the universities. For example, measures such as research output per academic full-time equivalent (FTE) and research income earned per academic FTE have been used to compare the research performance of universities³. Other approaches have used points systems based on, for instance, page counts of papers in journals, weighted by the journal's status, as a measure of performance of academic departments⁴.

However, with the collection of data on individual staff performance and characteristics for the PBRF, there is now a dataset available for more advanced methods of analysis. In particular, this dataset allows for an analysis of how factors such as demographic characteristics, position, employment status and subject area impact upon staff research performance⁵.

The measurement of different aspects of staff research performance was undertaken through the 2003 PBRF Quality Evaluation. A total of 8,013 PBRF eligible staff were assigned a quality category that indicated their level of research performance⁶. Approximately 30 percent of these staff did not have evidence portfolios assessed by the peer review panels⁷. The quality categories assigned to staff were A, B, C and R, with an A indicating the highest level of performance and R the lowest.

This report uses multiple regression to analyse the impact of staff demographic and employment characteristics on research performance. The advantage of using multiple regression is that it enables us to control for a subset of explanatory variables and examine the effect of a selected independent variable. For example, this allows us to separate out the confounding effects of the age and position of staff on their research performance.

Firstly, logistic regression is applied to data for all PBRF-eligible staff to analyse the factors that impacted on a staff member being quality weighted (achieving an A, B or C quality category) or not (achieving an R quality category). This is of particular interest as only those staff who were quality weighted attracted funding for their tertiary education organisations (TEOs).

² It is important to recognise that research is just one of the roles of degree teaching staff, along with teaching and service.

³ See Ministry of Education (2002-2003) New Zealand's Tertiary Education Sector: Profile and Trends.

⁴ See Bairam (1996) Research Productivity in New Zealand University Economics Departments, 1988-1995, *New Zealand Economic Papers* vol 30 (2), pp 229-241 and Gibson (2000) Research Productivity in New Zealand University Economics Departments: Comment and Update, *New Zealand Economic Papers*, vol 34 (1) June 2000, pp 73-88.

Note that research performance in this report refers to the quality of research.

⁶ Staff at all eight universities, two polytechnics, the four colleges of education and eight private training establishments took part in the 2003 Quality Evaluation.

establishments took part in the 2003 Quality Evaluation.

Tor universities, the proportion of PBRF-eligible staff that were not panel assessed was: 66 percent for Auckland University of Technology, 10 percent for the University of Auckland, 10 percent for Lincoln University, 24 percent for Massey University, 21 percent for the University of Waikato, 22 percent for the University of Otago, 12 percent for Victoria University of Wellington and 8 percent for the University of Canterbury.

Secondly, ordinary least squares (OLS) regression is used to analyse the impact of staff characteristics on the four quality scores allocated to panel assessed staff during the process of assigning quality categories. These quality scores include measures of performance in the areas of research output (RO), peer esteem (PE) and contribution to research environment (CRE). A weighted combination of these three measures, the overall quality score (OQS), is also analysed.

The OLS analysis should be treated with a degree of caution, as it uses information relating only to those staff whose evidence portfolios were panel assessed. If a TEO was more stringent in deciding which portfolios were forwarded to the panels for evaluation, their performance may be inflated, compared with TEOs that may have been less stringent. Therefore, any conclusions about the relative research performance of staff at TEOs or in the various subject areas should not be compared with analysis that includes all PBRF-eligible staff.

The process of assigning PBRF quality scores and categories⁸

The process of assigning quality categories and scores to PBRF-eligible staff in the 2003 Quality Evaluation followed a two-stage process. In the first stage, TEOs rated the research performance of their staff in a self-assessment exercise. The evidence portfolios of staff that were considered to be of an A, B or C quality category standard were forwarded to the subject panels for peer review. The peer review panels then assigned final quality categories to these staff. Staff, whose evidence portfolios were not forwarded to the panels, were assigned an R quality category automatically.

Three measures of research performance contributed to the quality category assigned to staff. These were the quality of research outputs (RO), the esteem in which the staff member was held by their peers (PE) and their contribution to the research environment (CRE). A staff member was assigned a score between 0 and 7 for each of these measures, with 7 representing the highest level of performance. To obtain an overall measure of research performance, the OQS, a weighting of 70 percent was then applied to the research output score, 15 percent to the peer esteem score and 15 percent to the contribution to the research environment.

The OQS was calculated using the following formula that resulted in a score between 0 and 700 for each staff member:

The OQS was used as an aid by the peer review panels in determining the quality category of staff who were panel assessed. A holistic approach to assigning quality categories was followed and the category could be altered from that indicated by the OQS. As a result, approximately 1 percent of the staff who were panel assessed received a quality category different from that indicated by their OQS.

Generally, an OQS score between 0 and 199 would earn an R quality category for a staff member, a score between 200 and 399 would earn a C quality category, a score between 400 and 599 a B quality category and a score between 600 and 700 an A quality category.

⁸ For more detail on how the Quality Evaluation was carried out see Tertiary Education Commission (2004) *Performance-Based Research Fund: Evaluation Research Excellence: the 2003 Assessment.*

⁹ The panels could only assign a score that was a whole number; no fractions of a score were allowed.

4 Data

The dataset analysed in this study was sourced from the Tertiary Education Commission (TEC). It contained records for 8,013 PBRF-eligible staff. After data quality issues had been addressed, 7,752 records for individual staff were available for the logistic regression analysis of the characteristics that influenced a staff member being quality weighted ¹⁰. The data available included the quality categories of staff, along with demographic variables such as age, gender and ethnicity. Information was also provided on the TEO at which staff were employed, their FTE status and their academic position, along with the subject area that they were engaged in.

A total of 5,641 individual staff member records were available for the OLS regression analysis of the research quality scores. Fewer records are available in this part of the analysis, as only staff that had evidence portfolios assessed by the peer review panels received the various moderated quality scores¹¹. The available data included the various research quality scores, along with the staff characteristics identified above.

-

¹⁰ Some staff did not have age recorded in the staff census and were omitted from the analysis.

¹¹ Although all PBRF-eligible staff had RO, PE and CRE scores assigned as part of the self-assessment exercise, these scores were not moderated and hence were not used in this analysis.

5 Methodology

Regression analysis 12

Two forms of multiple regression analysis were used in this analysis¹³. Firstly, logistic regression was used to analyse the impact of staff characteristics on the probability that a staff member was quality weighted. Then, OLS regression was used to analyse the effect of staff characteristics on a variety of quality scores.

The advantage of using multiple regression is that it allows for the effect of multiple staff characteristics on research performance to be analysed. Specifically, the methodology enables us to control for a subset of explanatory variables and examine the effect of a selected independent variable. For example, if a TEO has a large proportion of young researchers on their staff, then this would tend to impact negatively on the TEO's research performance, as younger staff would not have had the opportunity to establish a strong research portfolio. Using multiple regression analysis allows for the impact of the age of staff on TEO performance to be controlled for, allowing the impact of the TEO on staff performance to be more accurately judged.

Dependent variables

Logistic regression model

As a staff member was either quality weighted, or not, the dependent variable for the logistic regression is binary or dichotomous in nature 14. If the staff member was quality weighted, the dependent variable was assigned a value of 1, otherwise 0. For the logistic estimation the dependent variable is the natural logarithm of the probability of the staff member being quality weighted divided by (1 minus the probability of being quality weighted). (See equation A in Appendix A.)

OLS regression models

The RO, PE, CRE and OQS scores received by staff were used as the dependent variables in the OLS analysis. The impact of staff characteristics on each of these scores was analysed separately.

Independent variables

The independent variables selected in the regression models were determined by their relevance and by data availability. The independent variables used in the analysis are discussed in turn below.

Age

The age of a staff member was included as an explanatory variable in the regression model¹⁵. It might be expected that the older a staff member is, the more experience and skills he/she should have, which should boost their research performance¹⁶.

¹² More detail on the regression methodology can be found in Appendix A.

¹³ Stata 8.1 was used to estimate the parameters in the regression analysis.

¹⁴ For the purposes of this analysis, binary logistic regression was used. Future research may involve undertaking an ordered logistic regression analysis to analyse the factors that influenced a researcher achieving a higher quality category.

15 The age of staff in 2003 was used as the measure of age in this analysis.

¹⁶ It would have been preferable to use the number of years of research experience of each staff member, but this data was not available. Therefore age is used as a proxy.

However, the number of confounding factors makes it difficult to isolate the effect of age on research performance from other staff characteristics. Therefore, a linear, quadratic and cubic functional form were trialled for the relationship between age and research performance in the various regression models. The functional form that provided the best fit of the data is the one reported in the results.

Gender

A dummy variable to capture the effect of gender on the research performance of staff was included in the model. The base category is females.

Ethnicity

A set of dummy variables was used to capture the effects of ethnicity upon the research performance of staff. Dummy variables were included for Māori, Pasifika, Asian and Other ethnic groups. In addition, a dummy variable for those staff who did not state their ethnic groups was included¹⁷. The base ethnic group is European.

Employment status

The FTE status of staff was included as an explanatory variable in the regression model. It was expected that the higher the FTE proportion, the greater will be the research performance of staff. This is due to the staff member having potentially more time to concentrate on producing research outputs or supervise research students and therefore gain higher scores in the Quality Evaluation.

In addition to their FTE status, the time that staff can devote to research will depend to an extent on the focus on research of their position. For example, staff that are in academic leadership roles would devote the majority of their time to the running of departments or schools of study, rather than being able to devote time to research. Similarly, lecturers would tend to have a higher teaching load than say research fellows and so would have less time available to devote to research. Therefore, although there is no variable that specifically measures teaching load in the regression models, the dummy variables for position act as a proxy variable for this ¹⁸.

In addition, those staff in positions such as professor and associate professor would have been appointed to them partly through their research performance over several years. The position dummy variables would therefore also be acting somewhat as a proxy for research ability. It would be expected therefore that staff in positions such as professor and associate professor would be more likely to achieve higher levels of research performance.

The effect of the position held by staff in their TEO was captured through a set of dummy variables. Staff were asked to list their position as part of the staff census. This resulted in a wide variety of positions being recorded ¹⁹. For this analysis, these positions were recoded into 19 different positions. The focus of this report is on the four most common positions in numerical terms. These were senior lecturers, lecturers, associate professors and professors. The base position is professor.

¹⁸ There is a potential endogeneity problem with using position dummies as independent variables to explain research quality. However, as the position of staff would have been determined over a relatively long period of time, the majority of which would lie outside of the PBRF measurement window, this problem would tend to be minimised. A lack of suitable instrumental variable to proxy for position makes a test for endogeneity impractical. ¹⁹ It may be that different institutions have different job descriptions for staff in similarly named positions.

¹⁷ Approximately 25 percent of staff in the dataset did not state an ethnic group.

Provider

The effect of the TEO where the staff member worked on that staff member's performance was captured through a set of dummy variables. The disparity in the average quality score per FTE for TEOs in the Quality Evaluation would suggest that there are characteristics unique to individual TEOs that may influence the research performance of staff. This could, for example, be the degree of maturity of the research culture at the TEO, with more established providers likely to have a higher level of research output (Abbott and Doucouliagos, 2004). Other factors, such as the teaching load of staff, geographical location and the level of resources made available for research, can impact on the research performance of institutions (Johnes and Taylor, 1990). The base TEO selected was the University of Auckland, the best performer on average of the participating TEOs in the 2003 Quality Evaluation²⁰.

Subject

The impact of the subject area the staff member was engaged in was captured through a set of dummy variables. Some subject areas, such as nursing, have only become established in the research area in recent times in New Zealand, so the performance of staff in these areas may be affected. It may also be the case that certain subject disciplines have staff that are producing a higher level of research output than others²¹. The base subject area selected was philosophy, the best performing subject area on average in the 2003 Quality Evaluation²².

Interaction effects

It may be that there are differences in research performance among various subgroups. For example, there may be a difference in the research performance of men and women, or senior lecturers and lecturers. To allow for interaction effects among the independent variables, the regression analysis was repeated for selected subgroups of the dataset²³. Separate regressions were carried out for males, females, professors, associate professors, senior lecturers and lecturers²⁴. Analysis of the Māori and Pasifika ethnic groups was unable to be carried out due to the small size of these datasets.

Interpretation of regression output

Logistic regression

The results of the logistic regression are presented in odds ratio form. These are intuitively easier to understand, especially for dummy variables, than when presented in logit form. However, it is important to illustrate the concept of odds ratios and how they should be interpreted. Odds ratios are not the same as probabilities and so the following section provides an example of how to interpret the results of the logistic regression equations.

²⁰ Staff at the University of Auckland received the highest average quality score per FTE.

²¹ Another possibility is that some of the variation is due to the various peer review panels using different standards of measurement. However, moderation procedures used in the Quality Evaluation would tend to minimise this effect

²² Philosophy was the subject area with the highest average quality score per FTE.

An alternative approach of including interaction variables in the full dataset would prove problematic as a result of the large numbers of dummy variables leading to multicollinearity issues

of the large numbers of dummy variables leading to multicollinearity issues.

24 In the case of professors and associate professors, undertaking a logistic regression was impractical due to the high percentage of staff in these positions that were quality weighted.

Suppose that 400 professors were quality weighted and 200 were not. The odds of a professor being quality weighted are 400/200 = 2, or 2 to 1. In other words, the chances of a professor being quality weighted are reasonably good.

Suppose that 500 lecturers were quality weighted and 1,000 were not. The odds of a lecturer being quality weighted would be 500/1,000 = 0.5, or 1 to 2. The chances of them being quality weighted are therefore significantly lower than for professors.

To calculate the odds ratio of a lecturer being quality weighted compared with a professor, the odds of a lecturer being quality weighted (0.5) are divided by the odds of a professor being quality weighted (2), which equals 0.25.

This result can be interpreted as the odds of a lecturer being quality weighted are 25 percent of those of a professor. Alternatively, taking the inverse of the odds ratio (2/0.5), the odds of a professor being quality weighted are four times higher than for a lecturer. Therefore, it is more likely that a professor will be quality weighted than a lecturer.

This is not the same as saying that the probability of a professor being quality weighted is four times higher than that of a lecturer. Using the data in the above example, the probability of a professor being quality weighted is equal to the number of quality weighted professors divided by the total number of professors, quality weighted or not. The probability is found using the following calculation 400/(400+200) = 0.67. In other words, the probability that a professor will be quality weighted is 67 percent.

For a lecturer, the probability that they are quality weighted would equal 500/(500+1,000) = 0.33. In other words, the probability that a lecturer would be quality weighted is 33 percent.

Comparing the two results, the probability that a professor will be quality weighted is twice as great as the probability that a lecturer will be quality weighted (67/33). This compares with the odds ratio that indicated that the odds of a professor being quality weighted were four times greater than for a lecturer.

The greater the difference in the probability of the events occurring, the larger is the magnitude of the odds ratio. The large odds ratios that are observed in the results of the logistic regression should therefore not be interpreted as indicating a large difference in probabilities.

To aid with the interpretation of the results and to help place the odds ratios in context, predicted probabilities are provided as a footnote for the variable in question. The predicted probability is calculated by substituting the modal values of the independent variables into the logit regression equations. This provides a reference group for which probabilities can be calculated. Then the actual value of the independent variable of interest is substituted into the regression equation. By doing so, the impact of the staff characteristic on the predicted probability can be calculated for this reference group. The characteristics of the reference group in this analysis were: age = 48, ethnic group = European, gender = male, TEO = University of Auckland, position = senior lecturer, and subject area = education.

It should be noted that the predicted probabilities are sensitive to the reference group selected. If another reference group was selected, then the value of the predicted probabilities would vary. However, those factors identified as having a statistically significant impact on research performance remain significant no matter what reference group is selected.

OLS regression

The coefficient values in the OLS models show how a one unit change in the independent variable will affect the dependent variable. For example, in the RO model for all staff, the coefficient value for FTE shows that an increase in the proportion of 0.1 would lead to an increase in RO score of 0.03, on average.

For dummy variables, the coefficient value shows how the dummy variable differs from the base category. For example, in the RO model for all staff, the coefficient value for gender of 0.16 indicates that a male will have an RO score 0.16 points higher than women, on average.

Limitations to the study

Although all of the possible independent variables from the dataset were used in the regression models, there is the risk of omitting important explanatory variables. This can lead to the problem of model misspecification. The consequence of omitting important explanatory variables is that their effects can be captured by the variables that are included in the model. As a result, the estimated regression coefficients may be biased.

It should also be noted that the OLS analysis uses a different dataset than was used in the logistic regression analysis. As moderated research scores were only available for those staff that had evidence portfolios reviewed by the peer review panels, there were a total of 5,641 staff in the dataset used for the OLS regression analysis. This compares with the 7,752 staff in the logistic regression analysis dataset. Therefore, the results of the OLS analysis should not be interpreted as being representative of the entire PBRF-eligible workforce, but are instead representative of staff that had evidence portfolios peer reviewed.

6 Results²⁵

Summary statistics²⁶

The summary statistics for the dataset used in the logistic regression are discussed below.

The average age of staff in 2003 was 49.7 years and a majority of the staff were men (59 percent). The majority of staff identified themselves as European (56 percent). Māori (4 percent) and Pasifika (1 percent) made up a much smaller percentage of the dataset, while 25 percent of PBRF-eligible staff did not state an ethnicity.

Overall, university staff dominated the dataset with approximately 85 percent of staff engaged in this sub-sector. The University of Auckland (20 percent) was the TEO with the largest percentage of staff in the dataset.

In terms of employment status, senior lecturers (34 percent) were the largest group, followed by lecturers (28 percent) and professors (7 percent). The average FTE status of staff in the dataset was 0.93.

Staff in the education area were the largest subject grouping, with 13 percent of the dataset being engaged in this area. Computer science, information and technology was the next largest subject group with 5 percent.

Overall, 60 percent of staff were quality weighted. On a gender basis, a higher percentage of men (69 percent) were quality weighted, compared with women (47 percent). Of the main positions, associate professors were the highest performing with 98 percent of these staff being quality weighted, followed by professors (97 percent), senior lecturers (66 percent) and lecturers (37 percent).

The summary statistics for the OLS dataset are discussed below.

The dataset used for the OLS regression was broadly in line with the makeup of the logistic regression, although there was a slightly higher proportion of university staff and men. In addition, there was a lower representation of lecturers and higher representation of professors, associate professors and senior lecturers.

The average RO score achieved by staff was 3.6. Men (3.9) received a higher average RO score than women (3.1). In terms of the main positions, professors received the highest average RO score of 5.4, followed by associate professors (4.7), senior lecturers (3.5) and lecturers (2.8).

In terms of the PE score achieved by staff in this dataset, the average score was 3.1. Once again men (3.3) received a higher average PE score than women (2.6). By position, professors were the best performing with an average PE score of 5.4. They were followed by associate professors (4.4), senior lecturers (3.0) and lecturers (2.1).

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²⁵ The full regression results can be found in Appendix F and Appendix G. A summary of the statistical significance of staff characteristics is provided in Appendix E.

²⁶ See Appendix B, Appendix C and Appendix D for the full list of summary statistics.

Overall, staff received an average CRE score of 3.0. Men (3.2) received a higher average score than women (2.5). Professors were once again the best performing on average, with a CRE score of 5.1. They were followed by associate professors (4.2), senior lecturers (2.9) and lecturers (1.9).

The summary statistics for the OQS display a similar pattern to the research scores above, with men (373) receiving a higher average OQS than women (297). Similarly, professors (534) received the highest average score in terms of position²⁷.

²⁷ An analysis of the relationship between the various research scores used in the 2003 Quality Evaluation at the provider level can be found in Smart and Smyth (2005).

The effect of staff characteristics on the probability of staff being quality weighted

If a staff member was allocated an A, B or C quality category they were quality weighted and hence attracted funding for their TEO. The characteristics that impact on the probability of staff being quality weighted are explored below.

Age

The logistic regression results show that a cubic functional form provides the best fit of the dataset that includes all PBRF-eligible staff. Figure 1 below compares the actual probability of a staff member being quality weighted and the predicted probability of being quality weighted for the modal reference group²⁸, by age. In comparing these two data series, it is important to note that it is the shape of the curves that should be compared, not the magnitudes.

After controlling for other factors, the probability of a staff member being quality weighted is lowest for the youngest staff in the dataset. However, the probability of being quality weighted then increases with age until it peaks at the age of about 39. From the age of 39 to 64 the probability of being quality weighted declines at a decreasing rate, before once again rising slightly for staff aged over 64.

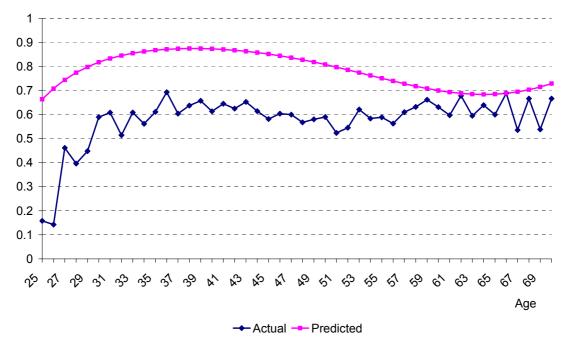


Figure 1: Probability of being quality weighted by age - all staff

Notes:

1. The data for staff aged under 25 and over 70 has been omitted due to small numbers in these age ranges.

Sources: Ministry of Education, Tertiary Education Commission.

^{2.} The predicted probabilities were calculated by inserting the modal values into the logit equation. The modal values in this case were: age 48, male, European, a senior lecturer, at the University of Auckland and subject area is education.

^{3.} The magnitude of the predicted and actual probabilities should not be compared with each other. It is the shape of the relationship between age and the probability of being quality weighted that should be compared.

²⁸ The predicted probabilities were calculated by inserting the modal values into the logit equation. The modal values in this case were: age 48, male, European, a senior lecturer, at the University of Auckland and subject area is education.

These results would reflect the fact that younger staff would find it difficult to have obtained high scores for research output, peer esteem and contribution to research environment in the Quality Evaluation, as they would not have had time to build up a strong research portfolio. The results suggest, however, that research performance then rises quite quickly for younger researchers.

The decrease in research performance for staff aged between 39 and 64 may be a result of staff in this age range taking on more responsibilities in areas other than research, such as administration or teaching. The slight rise in the probability of being quality weighted for staff in their mid-60s and over may reflect that the researchers remaining in the sector are top performers, while other possibly less able researchers may have retired.

At the subgroup level, both senior lecturers and lecturers showed there were gains in the probability of being quality weighted for the youngest researchers, with age. This was followed by a decline in the probability of being quality weighted for staff aged from their mid-30s (see Figure 2 below). In the case of senior lecturers the decline was quite significant, in that the predicted probability of being quality weighted fell well below what younger senior lecturers achieved. This would possibly reflect the fact that more able researchers in these positions would tend to get promoted to more senior positions. Therefore, the staff remaining in the older age groups would tend to have a lower level of research performance, on average.

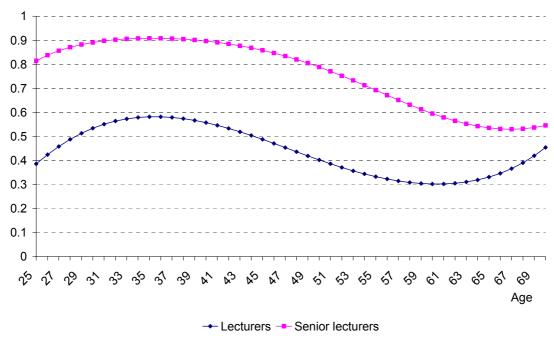


Figure 2: Predicted probabilities of staff being quality weighted by position and age

Notes:

^{1.} The data for staff aged under 25 and over 70 has been omitted due to small numbers in these age ranges.

^{2.} The predicted probabilities were calculated by inserting the modal values into the logit equation. The modal values in this case were: age 48, male, European, at the University of Auckland and subject area is education.

Gender

Although gender did not have a statistically significant impact on the probability of a staff member being quality weighted when analysing the full dataset, when repeating the analysis at the subgroup level the results showed that the odds of female senior lecturers being quality weighted were 1.5 times greater than their male counterparts.

Ethnicity

Overall, the regression analysis showed that ethnicity had an impact on the probability of a staff member being quality weighted, controlling for other factors. The odds of European staff being quality weighted were two times greater than for Pasifika staff²⁹. There was no statistically significant difference in the odds and therefore probability of European, Māori and Asian staff being quality weighted.

Employment status

The higher the FTE status of the staff member, the greater was the probability that they would be quality weighted. Overall, each additional increase of 0.1 in the proportion of the FTE status of a staff member increases the odds of being quality weighted by 34 percent, controlling for the effect of other variables in the model³⁰.

This result is not surprising, in that the more time that staff have available to engage in research the greater the likelihood of their being quality weighted.

At the subgroup level, the impact of an increase in the FTE status of staff on the probability of their being quality weighted was greater for males than for females and for senior lecturers than for lecturers.

The position of the staff member was a significant factor in determining whether he/she was quality weighted. The results showed that positions with more of a research focus were the best performing, controlling for other factors. Professors and associate professors were the most likely groups to be quality weighted overall. Other less senior positions had lower chances of being quality weighted. The odds of professors being quality weighted were 19 times and 80 times higher than for senior lecturers and lecturers, respectively³¹. Tutors had the lowest chance of being quality weighted, with the odds of a professor being quality weighted being over 400 times higher than for a tutor³².

At the subgroup level, analysis suggested that there were differences by gender. The odds of a female associate professor being quality weighted were 17 times higher than for a female professor. In comparison, there was no statistically significant difference in the likelihood that male professors and associate professors were quality weighted.

The fact that associate professors were either equally or more likely than professors to be quality weighted is not surprising. The extra administrative tasks that a professor

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²⁹ The predicted probability of a European staff member being quality weighted was 69.6 percent, compared with 53.6 percent for Pasifika staff.

³⁰ In terms of predicted probabilities, a staff member with an FTE status of 0.2 had a 46.4 percent chance of being

³⁰ In terms of predicted probabilities, a staff member with an FTE status of 0.2 had a 46.4 percent chance of being quality weighted. Staff with an FTE of 0.5 had a 55.6 percent chance and staff with an FTE status of 1 had a 69.8 percent chance of being quality weighted.

³¹ In terms of predicted probabilities, professors had a 97.8 percent chance of being quality weighted. This compares with a probability of 70.4 percent for senior lecturers and 36.0 percent for lecturers.

³² The predicted probability of a tutor being quality weighted was 8.9 percent.

might engage in would tend to negate the impact of their seniority over associate professors. This might especially be the situation facing the relatively few female professors within the dataset³³.

Provider

Staff at universities were more likely to be quality weighted than staff at other types of providers, holding other factors constant. Overall, staff at the University of Auckland, the University of Otago, the University of Canterbury and Victoria University of Wellington were the most likely to be quality weighted³⁴.

Staff at the remaining universities were less likely to be quality weighted. For example, the odds of staff at the University of Auckland being quality weighted were 2.2 times higher than for staff at the University of Waikato, three times higher than for staff at Massey University, 3.2 times higher than for staff at Lincoln University and 16.7 times higher than for staff at the Auckland University of Technology (AUT)³⁵.

The four top-performing universities, in terms of having staff quality weighted, all have a long history of research activity. In comparison, the lower level of relative performance by AUT staff may well reflect that it was only granted university status in 2000.

Staff at other types of providers, such as polytechnics, colleges of education (COEs) and private training establishments (PTEs), had a significantly lower chance of being quality weighted than staff at the University of Auckland. For example, the odds of staff at the University of Auckland being quality weighted were 19.7 times and 8.9 times higher than for staff at United and the Auckland College of Education (ACE). respectively³⁶. As degree teaching may form only a fraction of the teaching load of staff at some of these TEOs, the lower performance is not surprising.

Similar results were generally repeated at the subgroup level. However, at the senior lecturer level, staff at the University of Otago had the greatest chance of being quality weighted. They were 1.7 times more likely to be quality weighted than staff at the University of Auckland.

Subject

The subject area that the staff member was engaged in had an impact on the chances of their being quality weighted, controlling for other factors. Analysis, using data for all the PBRF-eligible staff in the dataset, showed that staff in the subject area of visual arts and crafts were the most likely to be quality weighted³⁷. The odds of staff in these areas being quality weighted were 2.6 times higher than for staff in the subject

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³³ There were a total of 80 female professors in the dataset, compared with 495 male professors.

³⁴ There was no statistically significant difference in the predicted probability of staff being quality weighted at the University of Auckland (82.7 percent), the University of Canterbury (79.8 percent), the University of Otago (81.1 percent) and Victoria University of Wellington (80.6 percent).

In terms of predicted probabilities, staff at the University of Waikato had a 68.9 percent chance of being quality weighted, staff at Massey University 61.5 percent, staff at Lincoln University 60.1 percent and staff at AUT 21.2 percent.

36 In terms of predicted probabilities, Unitec staff had a 19.7 percent chance of being quality weighted and staff at

ACE a 37.6 percent chance.

³⁷ Of those subject areas that were statistically significant.

area of philosophy³⁸. The performance of visual arts and crafts staff may appear to be somewhat surprising, given its mid table ranking in the 2003 Quality Evaluation³⁹. However, few of the staff involved in this area were in high-performing positions such as professor and associate professor. Additionally, a high proportion of these staff were at TEOs that had staff with relatively lower research performance than others. Controlling for these and other confounding factors places their performance in a better light.

Staff in areas like nursing and dentistry had a significantly lower chance of being quality weighted. For example, the odds of a staff member in philosophy being quality weighted were 37 times and nine times higher than a staff member in nursing or dentistry, respectively⁴⁰.

At the senior lecturer level, staff in the area of ecology, evolution and behaviour were the most likely to be quality weighted. The odds of staff in this subject area being quality weighted were 8.8 times higher than for staff in the area of philosophy.

³⁸ In terms of probabilities, staff in visual arts and crafts had a 98.0 percent chance of being quality weighted. This

compares with a 95.1 percent chance of staff in the area of philosophy being quality weighted.

39 Staff in the area of visual arts and crafts placed 28th out of 41 subject areas, in terms of average quality score per

FTE. 40 In terms of predicted probabilities, staff in the area of nursing had a 35.3 percent chance of being quality weighted and staff in the area of dentistry a 67.8 percent chance.

The effect of staff characteristics on RO scores⁴¹

This section discusses the effects of staff characteristics on the RO score they achieved in the 2003 Quality Evaluation. The RO score measures the quality of the research outputs of staff over the period 1997 to 2002⁴². The RO score can take a value between 0 and 7, with 7 indicating the highest level of performance⁴³.

Age

The relationship between age and research performance was assigned a cubic functional form in this analysis. As can be seen in Figure 3, initially the predicted RO score⁴⁴ earned by staff increases with age, but at a decreasing rate, controlling for other factors. The predicted RO score peaks for staff aged in their late 30s and then declines until staff are in their early 60s. At this stage, the predicted RO score is similar to that achieved by staff in their mid-20s. There is then a slight rise in the predicted RO score for staff aged over about 65.

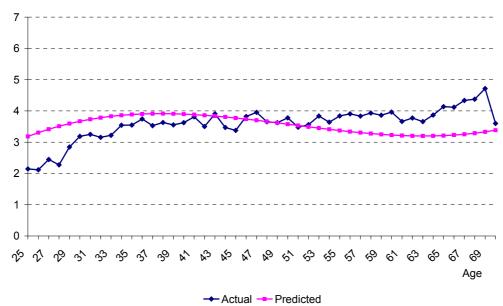


Figure 3: Average RO score by age - all staff

Notes:

^{1.} The data for staff aged under 25 and over 70 has been omitted due to small numbers in these age

^{2.} The predicted scores are calculated by inserting the modal values of the independent variables into the OLS regression equation. The modal values were: male, European, at the University of Auckland and in the education subject area.

^{3.} The magnitude of the predicted scores and actual scores should not be compared with each other. It is the shape of the relationship between age and the research score that should be compared. Sources: Ministry of Education, Tertiary Education Commission.

⁴¹ The OLS analysis in this and the following sections uses a different dataset than was used in the logistic regression analysis. As moderated research scores were only available for those staff that had evidence portfolios reviewed by the peer review panels, there were a total of 5,641 staff in this dataset. This compares with the 7,752 staff in the logistic regression analysis dataset. Therefore, the results of the OLS analysis should not be interpreted as being representative of the entire PBRF-eligible workforce.

42 The quality of research output was judged from four nominated research outputs and up to 50 additional research

outputs submitted by staff to the peer review panels for evaluation.

43 See Ministry of Education (2005) Research Measures: Comparing Seven New Measures of Research

Performance in Tertiary Education, for more detail on the RO, PE and CRE scores achieved by TEOs.

44 The predicted scores are calculated by inserting the modal values of the independent variables into the OLS

regression equation. The modal values were: male, European, at the University of Auckland and in the education subject area.

At the subgroup level, professors, associate professors and lecturers exhibited a negative linear relationship between age and RO score. However, the effect of age on performance was not very strong. If the age of a professor increased by one year, the RO score declined by 0.03 points, on average. For associate professors and lecturers, the RO score decreased by 0.04 points and 0.01, respectively. For associate professors and lecturers, this may reflect that more able researchers may be promoted to higher positions as they get older. Therefore, the staff remaining in the older age groups may have a lower level of research performance, on average.

Gender

Overall, on average, men received an RO score 0.16 points above that received by women, holding other factors constant. This result varied slightly at the subgroup level. For example, at the professor, senior lecturer and associate professor level, there was no statistically significant difference in the score received by men and women. However, at the lecturer level, men received a score 0.24 points above women, on average.

Ethnicity

Overall, the ethnicity of staff members did not appear to influence the RO score they received in the Quality Evaluation, holding other factors constant. However, analysis at the subgroup level suggested that, in the case of females, European staff received a higher RO score than Māori staff⁴⁵. Female Māori staff received an RO score 0.34 points lower than their European female counterparts, on average.

Employment status

Analysis showed that the greater the FTE status of staff, the higher was the RO score they were allocated, keeping other factors constant. This would suggest that the more time resource the staff member has at their disposal, the greater is the quality of their research output. The results showed that an increase in the FTE proportion of 0.1 led to an increase of 0.03 in the RO score of staff, on average.

At the subgroup level, an increase in FTE proportion of 0.1 increased the RO score of senior lecturers by 0.06 points, on average. Similarly, associate professors experienced an increase in their RO score of 0.09 for each 0.1 increase in FTE proportion and professors an increase of 0.07.

Overall, the more the position of the staff member was focused on research and the more senior the staff member, the higher on average was their RO score, controlling for other factors. Professors received the highest RO score, followed by associate professors, who received an RO score 0.75 points less than professors, on average. Senior lecturers received an RO score 1.88 points lower than professors and lecturers a score 2.7 points lower than professors.

The positions that received the lowest RO scores were assistant research fellows and senior tutors. Staff in these positions received an RO score 3.09 and 3.19 points below professors, respectively, on average.

⁴⁵ Although the block of dummy variables for ethnicity was not statistically significant at the 5 percent level, it was significant at the 8 percent level and therefore the result has been commented on.

Similar results were found at the subgroup level in terms of the ranking of staff positions, although the range of scores varied slightly.

Provider

Staff at the longer-established metropolitan universities generally received the highest RO scores once other factors had been controlled for. Generally, staff at the University of Auckland and the University of Otago were the best performing⁴⁶. Staff at the University of Canterbury received an RO score 0.16 points lower than those at the University of Auckland, followed by Victoria University staff with an average score that was 0.23 points lower, the University of Waikato staff with a score 0.31 points lower, Massey University 0.65 points lower and Lincoln University 0.77 points lower. Staff at AUT received a score 1.12 points lower than the University of Auckland, on average.

Staff at the COEs, polytechnics and PTEs generally received significantly lower RO scores than the top-performing universities, on average.

At the subgroup level the results were relatively similar, apart from at the lecturer level. For this subgroup, there was no statistically significant difference in the RO scores of staff at all the universities, with the exception of Massey. Lecturers at Massey University received an RO score 0.40 points lower than lecturers at the University of Auckland, on average.

It is important to note that these provider results should be treated with a degree of caution. As this regression analysis only uses data for staff who had evidence portfolios assessed by the peer review panels, the results should not be compared with measures of the research performance of TEOs that include the full PBRF-eligible dataset.

Subject

Overall, the subject area that staff were engaged in had an impact on their RO score, once other factors had been controlled for. Several subject areas received the highest RO score, on average. These areas included philosophy, Māori knowledge and development, music, literary arts and other arts, pure and applied mathematics, religious studies and theology, and visual arts and crafts⁴⁷.

Staff with the lowest relative performance were engaged in the subject areas of nursing, sport and exercise science, and clinical medicine. Compared with philosophy, staff in these areas received RO scores that were 2.46, 1.71 and 1.44 points lower on average, respectively. The result in the area of clinical medicine can partly be explained by the nature of the staff involved in this subject area. Generally, they are part-time staff with commitments elsewhere, which limits their ability to achieve high levels of research performance.

As was the case with the provider results, these subject area results should be treated with caution. The results should not be compared with analyses of research performance of the various subject areas that use the full PBRF-eligible dataset.

⁴⁶ There was no statistically significant difference in the RO scores achieved at these universities.

⁴⁷ There was no statistically significant difference in the RO scores achieved in these subject areas.

The effect of staff characteristics on PE scores

This section discusses the impact of staff characteristics on the PE score they received. The PE score measures the recognition of a staff member's research by her or his peers⁴⁸. The PE score can take a value between 0 and 7, with 7 indicating the highest level of performance.

Age

The relationship between PE score and age was assigned a cubic functional form. After controlling for other factors, the predicted PE score⁴⁹ is lowest for the youngest staff in the dataset, but then increases with age until it peaks for staff aged about 40. Between the ages of 41 and 60 the average score decreases, before rising slightly for staff aged 61 and older (see Figure 4).

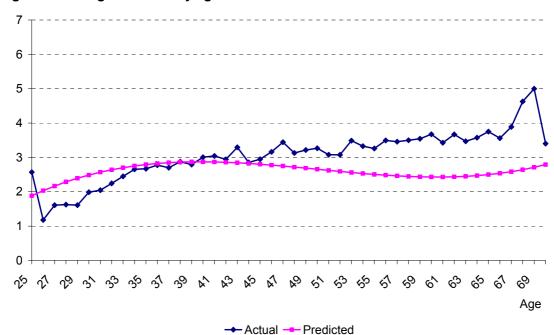


Figure 4: Average PE score by age - all staff

Notes:

1. The data for staff aged under 25 and over 70 has been omitted due to small numbers in these age ranges.

At the subgroup level, associate professors exhibited a negative linear relationship between age of staff and PE score. For each additional year of age, the PE score decreased by a very slight 0.04 points. Age did not appear to impact on the PE score received by professors.

^{2.} The predicted scores are calculated by inserting the modal values of the independent variables into the OLS regression equation. The modal values were: male, European, at the University of Auckland, a senior lecturer and in the education subject area.

^{3.} The magnitude of the predicted scores and actual scores should not be compared with each other. It is the shape of the relationship between age and the research score that should be compared. Sources: Ministry of Education, Tertiary Education Commission.

⁴⁸ This was measured by, among other factors, invitations to present at conferences, prizes received and editorships of journals.

of journals.

49 The predicted scores are calculated by inserting the modal values of the independent variables into the OLS regression equation. The modal values were: male, European, at the University of Auckland, a senior lecturer and in the education subject area.

Gender

Overall, the explanatory variable capturing gender effects did not appear to have a statistically significant impact on the PE score of staff, once other factors had been controlled for⁵⁰.

Ethnicity

The ethnic group of the staff member had an impact on the PE score allocated, controlling for other factors. Overall, Māori staff outperformed the other ethnic groups with a PE score that was 0.29 points higher than their European colleagues, on average. However, Asian staff received a PE score 0.24 points lower than European staff, on average.

Analysis at the subgroup level suggested that the gender of staff was also a factor. Although male Māori staff received a PE score 0.42 points higher than their European counterparts, there was no statistically significant difference between the scores achieved by female Māori and European staff.

Employment status

The higher the FTE status of staff, the higher on average was their PE score, controlling for other factors. On average, an increase of 0.1 in the proportion of the staff member's FTE status led to a 0.04 point increase in PE score.

Analysis at the subgroup level showed that the effect on PE score of an increase in FTE status was strongest for associate professors and weakest for males. The FTE status of professors and lecturers did not appear to impact on their allocated PE score.

Staff in positions with more of a research focus generally received higher PE scores, all other factors remaining the same. For example, associate professors received a PE score 1.07 points lower than professors, senior lecturers a PE score 2.37 points lower and lecturers 3.26 points lower. Analysis at the subgroup level produced similar relative performance of staff by position.

Provider

University staff generally received the highest PE scores, controlling for other factors. Staff at the University of Otago had the highest PE scores, with a score 0.14 points higher than the University of Auckland, on average. The university with the lowest PE score, relative to the University of Auckland, was AUT with a score 0.98 points lower, on average.

Analysis at the subgroup level showed that the strong performance of University of Otago staff was not constant across genders, with males receiving a higher PE score than their counterparts at other universities, but not females. Similarly, position was also a factor at Otago, with senior lecturers receiving higher PE scores than staff at other universities, but not lecturers and associate professors.

As with the analysis of RO scores, caution should be used when interpreting these TEO results. These regression results should not be compared with results of analyses that use the full PBRF-eligible dataset.

⁵⁰ However, as can be seen below in the ethnicity and provider section, gender does appear to have an impact on research performance in combination with ethnicity and provider.

Subject

The subject area the staff member was involved in had an impact on their PE score, controlling for other factors. Generally, staff in the science area achieved the highest scores, along with subjects like philosophy and Māori knowledge and development⁵¹.

Staff in the areas of nursing and veterinary studies and large animal science received significantly lower PE scores than staff in the area of philosophy, of 1.95 and 1.85, respectively.

Analysis of the senior lecturer subgroup showed that staff in the area of Māori knowledge and development received the highest PE scores, on average.

Once again, a reminder that caution should be used in interpreting these subject area results. They should not be compared with results from analyses that use the full PBRF-eligible dataset.

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⁵¹ There was no statistically significant difference in the scores received staff by these subject areas.

The effect of staff characteristics on CRE scores

This section discusses the impact of staff characteristics on the CRE scores received by staff. The CRE score measures the contribution by a staff member to a vital, highquality research environment⁵². The CRE score can take a value between 0 and 7, with 7 indicating the highest level of performance.

Age

The relationship between CRE score and age was assigned a cubic functional form in this analysis. As can be seen in Figure 5, after controlling for other factors, the predicted CRE score⁵³ is lowest for staff aged in their mid-20s and then increases with age until peaking for staff aged about 41. The predicted CRE score then decreases for staff aged between 42 and 63, before rising slightly for staff aged over this.

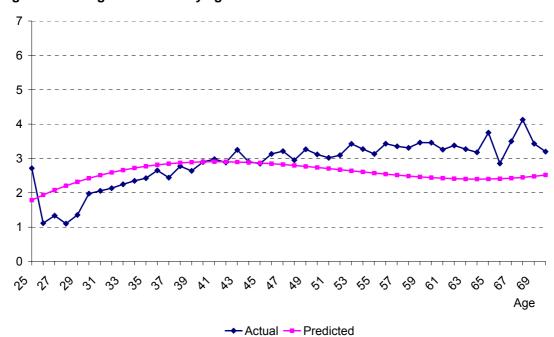


Figure 5: Average CRE score by age - all staff

Notes:

1. The data for staff aged under 25 and over 70 has been omitted due to small numbers in these age

2. The predicted scores are calculated by inserting the modal values of the independent variables into the OLS regression equation. The modal values were: male, European, at the University of Auckland, a senior lecturer and in the education subject area.

3. The magnitude of the predicted scores and actual scores should not be compared with each other. It is the shape of the relationship between age and the research score that should be compared. Sources: Ministry of Education, Tertiary Education Commission.

At the subgroup level, associate professors exhibited a slight inverse linear relationship between age and CRE score. For each extra year of age, the CRE score fell by 0.04 points, on average. Age did not appear to impact on the CRE score allocated to professors.

⁵² The supervision of postgraduate research students and research grants were examples of measures used to determine the CRE scores of staff.

⁵³ The predicted scores are calculated by inserting the modal values of the independent variables into the OLS regression equation. The modal values were: male, European, at the University of Auckland, a senior lecturer and in the education subject area.

Gender

Overall, gender did not have a statistically significant impact on the CRE score allocated to staff members once other factors had been controlled for. However, subgroup analysis showed that female senior lecturers received a CRE score 0.15 points higher than male senior lecturers. In addition, female associate professors received a CRE score 0.32 points higher than their male counterparts, on average.

Ethnicity

Overall, the ethnic group of staff had an impact upon the CRE score allocated, controlling for other factors. Asian staff received a CRE score 0.3 points lower than European staff, on average. At the subgroup level, this finding was repeated for males but not for females. It also appeared that Māori professors received higher CRE scores and Asian professors lower CRE scores than European professors, on average.

Employment status

The higher the FTE status of staff, the higher on average was their CRE score, holding other factors constant. Overall, an increase of 0.1 in the FTE proportion of staff led to an increase in their CRE score of 0.07 points. As staff with a higher FTE status would have more opportunity to supervise research students, one of the measures of contribution to the research environment, this result was as expected.

When examining this relationship at the subgroup level, the results showed that the positive effect of FTE status on CRE score was strongest for associate professors and weakest for males. The FTE status of professors and lecturers did not appear to impact on their allocated CRE score.

Overall, the more of a research focus the position of the staff member entailed, the higher was their CRE score, controlling for other factors. For example, on average, associate professors, senior lecturers and lecturers received CRE scores that were 0.96, 2.14 and 3.08 points lower than for professors, respectively.

Provider

Overall, of the universities, staff at the University of Auckland, the University of Otago and the University of Waikato had the highest CRE scores, on average⁵⁴. Staff at AUT had the lowest level of performance when compared with the University of Auckland. On average, the CRE score for AUT staff was 1.08 points lower than their counterparts at the University of Auckland. The relatively short period that AUT has been a degree-granting institution would be a factor in this score. In addition, as AUT has had relatively few doctoral students, the lack of opportunities to supervise research students would impact on the CRE score of staff.

Similar results were found at the subgroup level, with the exception of lecturers, where staff at the University of Otago received the highest CRE score, on average.

As with the analysis of RO and PE scores, caution should be used when interpreting these TEO results. These regression results should not be compared with results of analyses that use the full PBRF-eligible dataset.

⁵⁴ There was no statistical difference in the scores achieved by these universities.

Subject

The subject area staff were engaged in had an impact on the CRE score they received, controlling for other factors. Staff in the area of earth science received the highest CRE score with a score 0.45 points higher than staff in the area of philosophy, on average.

Analysis at the subgroup level showed that male staff in the area of ecology, evolution and behaviour were the best performers in terms of subject area. Analysis by position showed that, for senior lecturers, Māori knowledge and development was the best-performing subject area.

Overall, staff in the areas of veterinary science (-1.66), accounting and finance (-1.56) and nursing (-1.32) received significantly lower CRE scores, on average, than staff in the subject area of philosophy.

Once again, a reminder that caution should be used in interpreting these subject area results. They should not be compared with results from analyses that use the full PBRF-eligible dataset.

Comparing the impact of age on RO, PE and CRE scores

The analysis of the relationship between age and the research scores showed that overall a cubic functional form was appropriate in all three cases, holding other factors constant. However, additional insight into the dynamics of the relationship between age and the research scores can be gained by comparing the predicted average scores for all three measures.

Figure 6 below shows the combined predicted scores across the three dimensions of performance for the modal reference group. It indicates that the RO score is the highest, followed by PE and then CRE, on average.

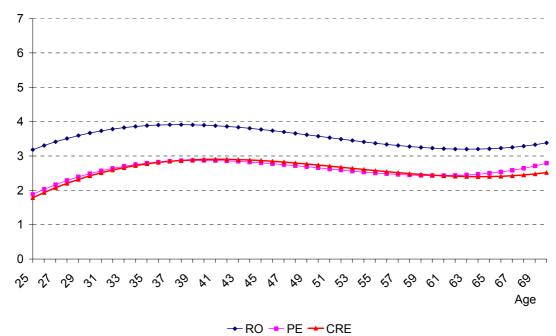


Figure 6: Predicted research scores by age - all staff

Note: The predicted scores are calculated by inserting the modal values of the independent variables into the OLS regression equation. The modal values were: male, European, at the University of Auckland, a senior lecturer and in the education subject area.

The gap between the average RO and CRE score is largest for the youngest staff in the dataset and narrows as staff are older. This narrowing trend in the margin between the scores is especially noticeable in the case of the gap between the RO and PE score for staff aged over 50.

There is also a difference in the turning point where the average scores begin to fall. The RO score is the first to start declining in terms of age, followed by the PE score and then the CRE score. The lag in the peaks of PE and CRE is not surprising. The factors that are included in these measures, such as prizes, invitations to conferences and supervision of research students would all flow on from the research performance of staff. Therefore, a lag in this turning point is to be expected.

Of the three measures, the fall-off in score following the turning point was the smallest for the PE score and the largest for the RO score. This would indicate that peer esteem is a measure that does not decline with age to the same extent as the other two measures.

The effect of staff characteristics on the OQS

This section discusses the effect of staff characteristics on the OQS allocated to staff. The OQS is a weighted average of the RO (70 percent), PE (15 percent) and CRE (15 percent) scores that was used to help allocate quality categories to staff in the Quality Evaluation. The OQS can take a value between 0 and 700, with 700 indicating the highest level of research performance.

Age

The relationship between the OQS and age was assigned a cubic functional form. As shown in Figure 7, initially the predicted OQS⁵⁵ increases with the age of staff until peaking for staff aged about 39, all other factors remaining constant. Between the ages of 40 and 64 the predicted OQS declines, before rising slightly for staff aged over 65. This slight upswing is likely to be a result of staff retiring, which may well result in only some of the better-performing staff remaining in this age group.

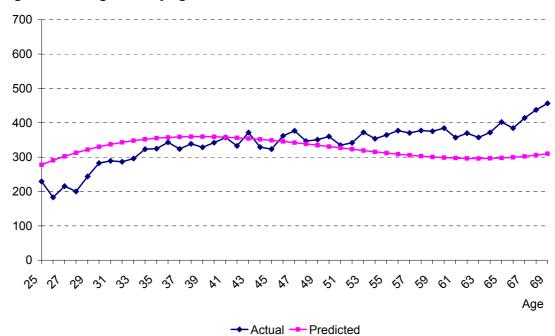


Figure 7: Average OQS by age - all staff

Notes:

1. The data for staff aged under 25 and over 69 has been omitted due to small numbers in these age ranges.

At the subgroup level, professors and associate professors exhibited a relatively small inverse linear relationship between age and OQS. For each extra year of age, the OQS for professors and associate professors fell by 2.3 points and 4.3 points, respectively.

^{2.} The predicted scores are calculated by inserting the modal values of the independent variables into the OLS regression equation. The modal values were: male, European, at the University of Auckland, a senior lecturer and in the education subject area.

^{3.} The magnitude of the predicted scores and actual scores should not be compared with each other. It is the shape of the relationship between age and the research score that should be compared. Sources: Ministry of Education, Tertiary Education Commission.

⁵⁵ The predicted scores are calculated by inserting the modal values of the independent variables into the OLS regression equation. The modal values were: male, European, at the University of Auckland, a senior lecturer and in the education subject area.

Gender

Overall, holding other factors constant, men received a higher OQS score than women, although the margin was relatively small. On average, male staff had an OQS 10.7 points higher than women.

This finding was not uniform across subgroups. The effect of gender was largest for lecturers, where males received an OQS 17.1 points higher, on average, whereas there was no statistically significant difference in the OQS achieved by male and female associate professors and senior lecturers.

Ethnicity

Overall, ethnicity had no statistically significant effect on the OQS received by staff, once other factors had been controlled for.

Employment status

The higher the FTE status of a staff member, the higher on average was their OQS score, all other factors remaining constant. A 0.1 increase in the FTE proportion causes a 3.7 point increase in the OQS, on average. Analysis at the subgroup level suggested that a higher FTE status resulted in higher research performance in most positions. However, it appeared to have no impact on the score received by lecturers.

Overall, those positions with more of a focus on research and more senior status had a higher level of performance, holding other factors constant. The best performers were professors, followed by associate professors, who achieved OQS scores 82.7 points lower in comparison, senior lecturers with an OQS 199.4 points lower than professors, and lecturers an OQS 283.6 points lower than professors, on average.

Provider

In general, staff at the universities received the highest OQS, controlling for other factors. Of the universities, staff at Auckland and Otago had the highest OQS score⁵⁶. Analysis at the subgroup level suggested that gender also influenced the OQS score received by staff. Male staff at the University of Otago received the highest OQS, on average, whereas female staff at the University of Auckland, the University of Canterbury and the University of Otago received the highest scores, on average⁵⁷.

As with the analysis of RO, PE and CRE scores, caution should be used when interpreting these TEO results. These regression results should not be compared with results of analyses that use the full PBRF-eligible dataset.

Subject

The subject area the staff member was engaged in had an impact on their OQS, controlling for other factors. Overall, staff in several subject areas received the highest OQS. These included staff in the areas of Māori knowledge and development, philosophy, ecology, evolution and behaviour, psychology, pure and applied mathematics, religious studies and theology, and visual arts and crafts⁵⁸.

⁵⁶ There was no statistical difference in the scores achieved by these universities.

⁵⁷ There was no statistical difference in the scores achieved by these universities.

⁵⁸ There was no statistical difference in the scores achieved by these subject areas.

Overall, staff in nursing and sport and exercise science received the lowest OQS scores. Staff in the area of nursing received an OQS 221.4 points lower and staff in sport and exercise science a score 159.6 points lower than staff in the area of philosophy, respectively. Similar results were found in analysis at the subgroup level.

Once again, remember that caution should be used in interpreting these subject area results. They should not be compared with results from analyses that use the full PBRF-eligible dataset.

7 Conclusion

The implementation of the PBRF has created the first opportunity for an in-depth analysis of the research performance of tertiary education staff in New Zealand. Regression analysis of the data provided from the PBRF Quality Evaluation has the advantage of controlling for confounding factors, allowing us to analyse the effect of staff characteristics individually on research performance. The regression analysis identified a number of characteristics that appear to impact upon staff research performance.

The age of staff had an impact upon research performance. Generally, staff aged in their early to mid-20s had lowest levels of research performance, once other factors had been controlled for. As staff in this age bracket would have found it difficult to have established a strong record of research, this result is not surprising. Research performance then tends to increase rapidly with age before appearing to peak for staff aged in their late 30s and early 40s. Then there is a fall-off in research performance for staff aged from their early 40s to their early 60s. A possible explanation for this decline in performance is that as research is just one of the tasks of an academic, it is possible that older staff may devote more time to other job tasks, such as service or administration or teaching. This would tend to have a detrimental impact upon research performance. There was evidence of a slight upswing in research performance for staff aged older than their mid-60s. For associate professors, the results showed that research performance generally decreased slightly with age, whereas, for professors, age appeared to have little effect on research performance.

The gender of staff was a factor in research performance in some cases. Males had a slightly higher level of research performance overall, as measured by the OQS. This was mostly on the back of higher RO scores. However, females outperformed males in some areas, with female associate professors and senior lecturers receiving higher CRE scores than their male counterparts.

The ethnic group of staff impacted on research performance in some areas. Māori staff generally received higher PE scores and Asian staff lower PE scores than European staff. In addition, Pasifika staff were less likely to be quality weighted than their European counterparts. Overall, the ethnic group of staff did not appear to impact on the RO score they achieved, once other factors had been controlled for. However, in the case of females, Māori staff received lower RO scores on average.

In terms of the employment characteristics of staff, the greater the FTE status of staff, the higher was their research performance generally. This effect was less significant for women and lecturers.

One of the strongest indicators of research performance was the position of staff. Those positions with more of a research focus performed at a higher level. Not surprisingly, professors and associate professors generally had the highest level of research performance, followed by senior lecturers and then lecturers.

Staff at the longer established metropolitan universities generally had the highest level of research performance. Staff at other TEOs, such as polytechnics and PTEs, where

degree teaching may form only a fraction of their teaching load, tended to perform less well.

Overall, across all the measures of research performance, staff in the science subject areas were generally the best performing, especially in terms of the CRE and PE scores they achieved. Some subject areas, such as visual arts and crafts, performed well in some areas, such as RO score, but performed less well in others, such as CRE and PE score. Overall, staff in the area of nursing had the lowest level of relative research performance.

Appendix A Regression methodology

Logistic regression

As staff are quality weighted or they are not, the dependent variable is binary in nature and takes a value of 1 or 0. Use of ordinary least squares is not appropriate in this case as it will violate the assumption of normality and homoscedasticity of residuals and there is no assurance that the predicted value will lie between 0 and 1 (Ramanathan, 1998). Therefore, logistic regression is used to analyse the impact of the explanatory variables on whether a staff member was quality weighted.

Logistic regression applies maximum likelihood estimation after transforming the dependent variable into a logit variable. In this way, logistic regression estimates the probability of a staff member being quality weighted, or not.

The logistic regression equation took the form:

(A)
$$ln[QW/(1-QW)] = \beta_1 + \beta_2 \ age + \beta_3 \ age^2 + \beta_4 \ age^3 + \beta_5 \ gender + \beta_6 \ FTE + \beta_7 \ ethnicity* + \beta_8 \ provider* + \beta_9 \ position* + \beta_{10} \ subject* + \mu$$

Where QW is the probability of the staff member being quality weighted, age is the age of the staff member as at 2003, gender is a dummy variable (if male = 1 otherwise 0), FTE is full-time equivalent status of the staff member, ethnicity* is a vector of dummy variables for the ethnicity of the staff member, position* is a vector of dummy variables for the position of the staff member, provider* is a vector of dummy variables for the 21 TEOs in the logistic regression analysis, subject* is a vector of dummy variables for the subject areas that were used in the PBRF, μ is an error term and ln is the natural logarithm.

Regression diagnostics

The pseudo R²s for the logistic regression models ranged from 0.27 to 0.42. Considering the large number of observations and the cross-sectional nature of the dataset these pseudo R²s indicate that the models have a reasonable amount of explanatory power. However, there are obviously still other factors outside the scope of this analysis that impact on research performance.

Likelihood ratio tests indicated that at least one of the independent variables had a significant effect on the probability of a staff member being quality weighted. Similarly, Hosmer-Lemeshow χ^2 test results also suggest that at least one of the independent variables had an effect on the dependent variable in the regression models.

Likelihood ratio tests were also used to test the significance of the individual continuous variables and the various blocks of dummy variables (Hosmer and Lemeshow, 2000). The tests showed that the variables for age, FTE, provider, position and subject area were statistically significant in both the overall and subgroup regression analysis. Ethnicity and gender were statistically significant factors in some of the estimated models, specifically the overall and senior lecturer subgroup analysis, respectively.

Although an individual dummy variable within a block of dummies may be statistically significant, if the block of dummy variables was insignificant as a whole, then the individual dummy was also considered to also be statistically insignificant.

Independent variable selection

Although variables, or blocks of variables, were found to have a statistically insignificant effect on research performance in some of the estimated models, they have been retained in the reported results. This was done so that the results of the various analyses can be compared. In addition, although not statistically significant, these variables may still play a role in controlling for these characteristics.

OLS regression

OLS regression was used to analyse the effect of staff characteristics on the four scores of research performance⁵⁹.

The four OLS regression models took the following forms:

(B) RO score =
$$\beta_1 + \beta_2 age + \beta_3 age^2 + \beta_4 age^3 + \beta_5 gender + \beta_6 FTE + \beta_7 ethnicity* + \beta_8 provider* + \beta_9 position* + \beta_{10} subject* + \mu$$

(C) PE score =
$$\beta_1 + \beta_2 age + \beta_3 age^2 + \beta_4 age^3 + \beta_5 gender + \beta_6 FTE + \beta_7 ethnicity* + \beta_8 provider* + \beta_9 position* + \beta_{10} subject* + \mu$$

(D) CRE score =
$$\beta_1 + \beta_2 age + \beta_3 age^2 + \beta_4 age^3 + \beta_5 gender + \beta_6 FTE + \beta_7 ethnicity* + \beta_8 provider* + \beta_9 position* + \beta_{10} subject* + \mu$$

(E) OQS
$$= \beta_1 + \beta_2 age + \beta_3 age^2 + \beta_4 age^3 + \beta_5 gender + \beta_6 FTE + \beta_7 ethnicity* + \beta_8 provider* + \beta_9 position* + \beta_{10} subject* + \mu$$

Where age is the age of the staff member as at 2003, gender is a dummy variable (if male = 1 otherwise 0), FTE is full-time equivalent status of the staff member, ethnicity* is a vector of dummy variables for the ethnicity of the staff member, position* is a vector of dummy variables for the position of the staff member, provider* is a vector of dummy variables for the 22 TEOs in the OLS analysis, subject* is a vector of dummy variables for the subject areas that were used in the PBRF and μ is an error term.

Regression diagnostics

The R²s of the OLS regression models ranged from 0.26 to 0.53. Considering the large number of observations and the cross-sectional nature of the dataset, the R²s indicate that the OLS models have a reasonable amount of explanatory power. However, there are obviously factors outside the scope of this analysis impacting on research performance.

F tests indicated that for all models estimated, at least one of the independent variables had a significant effect on the research quality scores. Individual tests of significance showed that FTE status and age were generally important factors in determining research performance. Block F tests were applied to test the significance

⁵⁹ Tobit regression was trialled as it might be argued that the research scores are censored at 0 and 7 and 0 and 700. However, as relatively few scores were at the censor points, the Tobit regression had little effect on the results.

What determines the research performance of staff in New Zealand's tertiary education sector? An analysis of the Performance-Based Research Fund Quality Evaluation

of the vectors of dummy variables. The results showed that the provider, subject area and position of the staff member had an impact on all research scores. The dummy variables for ethnicity only had a statistically significant impact upon the PE and CRE scores.

Although an individual dummy variable within a block of dummies may be statistically significant, if the block of dummy variables was insignificant as a whole, then the individual dummy was also considered to also be statistically insignificant.

Independent variable selection

Although variables, or blocks of variables, were found to have a statistically insignificant effect on research performance in some of the estimated models, they have been retained in the reported results. This was done so that the results of the various subgroup analyses can be compared. In addition, although not statistically significant, these variables may still play a role in controlling for these characteristics.

Appendix B Summary statistics for logistic regression dataset

Table 1: Summary statistics of age and FTE status

	Summary measures					
Variable	Mean	Std dev	Max	Min		
Age FTF	46.9	9.9	77	20		
FŤE	0.93	0.18	1	0.2		

Note: These statistics are for the 7,752 staff used in the logistic regression analysis.

Sources: Ministry of Education, Tertiary Education Commission.

Table 2: Summary of staff characteristics

Variable	No.	%	Variable	No.	%
Quality weighted	4,640	59.9	Accounting and Finance	211	2.7
Not quality weighted	3,112	40.1	Agriculture and Other Applied Biological Sciences	158	2.0
			Anthropology and Archaeology	60	8.0
Men	4,553	58.7	Architecture, Design, Planning and Surveying	174	2.2
Women	3,199	41.3	Biomedical	173	2.2
			Chemistry	193	2.5
European	4,356	56.2	Clinical Medicine	286	3.7
Māori	352	4.5	Communications, Journalism and Media Studies	94	1.2
Pasifika	70	0.9	Computer Science, Information Technology, Information	396	5.1
Asian	360	4.6	Services		
Other	687	8.9	Dentistry	53	0.7
Not stated	1,927	24.9	Design	101	1.3
			Earth Science	140	1.8
University of Auckland	1,517	19.6	Ecology, Evolution and Behaviour	176	2.3
University of Canterbury	605	7.8	Economics	143	1.8
University of Otago	1,306	16.8	Education	1,023	13.2
Victoria University of Wellington	543	7	Engineering and Technology	369	4.8
University of Waikato	562	7.2	English Language and Literature	118	1.5
Massey University	1,299	16.8	Foreign Languages and Linguistics	207	2.7
Auckland University of	586	7.6	History, History of Art, Classics and Curatorial Studies	191	2.5
Technology			,,		
Lincoln University	191	2.5	Human Geography	61	0.8
Others	1,143	14.7	Law	228	2.9
	.,		Management, Human Resources, Industrial Relations,	328	4.2
Academic	91	1.2	International Business and Other Business	0_0	
Academic leadership role	432	5.6	Māori Knowledge and Development	141	1.8
Assistant research fellow	64	0.8	Marketing and Tourism	172	2.2
Associate professor	667	7.2	Molecular, Cellular and Whole Organism Biology	390	5.0
Lecturer	2,130	27.5	Music, Literary Arts and Other Arts	130	1.7
Postdoctoral fellow	168	2.2	Nursing	168	2.2
Professor	575	7.4	Other Health	264	3.4
Research fellow	282	3.6	Philosophy	66	0.9
Research officer	74	1.0	Physics	108	1.4
Researcher	63	0.8	Political Science, International Relations and Public Policy	95	1.2
Senior lecturer	2,602	33.6	Psychology	231	3.0
Senior research fellow	101	1.3	Public Health	211	2.7
Senior teaching fellow	99	1.3	Pure and Applied Mathematics	143	1.8
Senior tutor	179	2.3	Religious Studies and Theology	57	0.7
Teaching fellow	45	0.6	Sociology, Social Policy, Social Work, Criminology and	240	3.1
Technician	25	0.0	Gender Studies	240	3.1
Tutor	83	1.1	Sport and Exercise Science	88	1.1
Visiting academic	66	0.9	Statistics	86	1.1
	6	0.9	Theatre and Dance, Film and Television, and Multimedia	75	1.0
General	О	0.1		_	
			Veterinary Studies and Large Animal Science	75 120	1.0
			Visual Arts and Crafts	129	1.7

Note: These statistics are for the 7,752 staff used in the logistic regression analysis.

Appendix C Summary statistics for OLS regression dataset

Table 3: Summary statistics of age and FTE status

		Summary	measures	
Variable	Mean	Std dev	Max	Min
Age	46.9	9.8	77	23
FTE	0.94	0.16	1	0.2

Note: These statistics are for the 5,641 staff used in the OLS regression analysis.

Sources: Ministry of Education, Tertiary Education Commission.

Table 4: Summary of staff characteristics

Variable	No.	%	Variable	No.	%
Men	3,615	64.1	Anthropology and Archaeology	55	1.0
Women	2,026	35.9	Architecture, Design, Planning and Surveying	113	2.0
			Biomedical	161	2.9
			Chemistry	171	3.0
European	3113	55.2	Clinical Medicine	233	4.1
Māori	199	3.5	Communications, Journalism and Media Studies	58	1.0
Pasifika	36	0.6	Computer Science, Information Technology, Information	271	4.8
Asian	263	4.7	Services	29	0.5
Other	545	9.7	Dentistry	41	0.7
Not stated	1,485	26.3	Design	135	2.4
			Earth Science	167	3.0
University of Auckland	1,359	24.1	Ecology, Evolution and Behaviour	116	2.1
University of Canterbury	556	9.9	Economics	487	8.6
University of Otago	1,033	18.3	Education	303	5.4
Victoria University of Wellington	488	8.7	Engineering and Technology	94	1.7
University of Waikato	445	7.9	English Language and Literature	141	2.5
Massey University	988	17.5	Foreign Languages and Linguistics	174	3.1
Auckland University of Technology	202	3.6	History, History of Art, Classics and Curatorial Studies	55	1.0
Lincoln University	171	3.0	Human Geography	187	3.3
Others	399	7.1	Law	256	4.5
			Management, Human Resources, Industrial Relations,	29	0.5
Academic	66	1.2	International Business and Other Business		
Academic leadership role	315	5.6	Māori Knowledge and Development	76	1.4
Assistant research fellow	44	8.0	Marketing and Tourism	131	2.3
Associate professor	660	11.7	Molecular, Cellular and Whole Organism Biology	344	6.1
Lecturer	1,173	20.8	Music, Literary Arts and Other Arts	115	2.0
Postdoctoral fellow	152	2.7	Nursing	59	1.1
Professor	565	10.0	Other Health	144	2.6
Research fellow	249	4.4	Philosophy	59	1.1
Research officer	54	1.0	Physics	94	1.7
Researcher			Political Science, International Relations and Public	-	
	47	8.0	Policy	76	1.4
Senior lecturer	2,054	36.4	Psychology	198	3.5
Senior research fellow	96	1.7	Public Health	175	3.1
Senior teaching fellow	29	0.5	Pure and Applied Mathematics	104	1.8
Senior tutor	62	1.1	Religious Studies and Theology	38	0.7
Teaching fellow	10	0.2	Sociology, Social Policy, Social Work, Criminology and	177	3.1
Technician	21	0.4	Gender Studies		• • •
Tutor	23	0.4	Sport and Exercise Science	55	1.0
Visiting academic	16	0.3	Statistics	77	1.4
General	5	0.1	Theatre and Dance, Film and Television, and		
33.13141	3	0.1	Multimedia	42	0.7
			Veterinary Studies and Large Animal Science	56	1.0
Accounting and Finance	138	2.5	Visual Arts and Crafts	100	1.8
Agriculture and Other Applied	100	2.0	Violai / II to and Oraito	100	1.0
Biological Sciences	136	2.4			
Note: These statistics are for the F 641			0		

Note: These statistics are for the 5,641 staff used in the OLS regression analysis.

Appendix D Research performance of selected groups

Table 5: Percentage of staff quality weighted for selected groups

	%
All staff	59.9
Males	68.9
Females	47.1
Professors	97.2
Associate professors	97.8
Senior lecturers	66.2
Lecturers	37.0

Note: These statistics refer to the dataset used for the logistic regressions.

Sources: Ministry of Education, Tertiary Education Commission.

Table 6: Average research scores of staff for selected groups

	Mean	Std dev	Min	Max
All staff				
RO	3.6	1.6	0	7
PE	3.1	1.8	0	7
CRE	3.0	1.8	Ö	7
OQS	345.3	153.8	Ö	700
040	040.0	100.0	U	700
Males				
RO	3.9	1.6	0	7
PE	3.3	1.8	Ö	7
CRE	3.2	1.8	0	7
OQS	372.7	153.2	Ö	700
Females				
RO	3.1	1.5	0	7
PE	2.6	1.6	0	7
CRE	2.5	1.6	0	7
OQS	296.5	142.3	0	700
Professors				
RO	5.4	1.2	1	7
PE	5.4	1.2	0	7
CRE	5.1	1.4	1	7
OQS	534.4	116.6	100	700
Associate professors	4 7	4.0	•	-
RO	4.7	1.2	0	7
PE	4.4	1.3	0	7 7
CRE	4.2	1.4	0	
OQS	458.5	111.9	75	700
Senior lecturers				
RO	3.5	1.4	0	7
PE	3.0	1.5	0	7
CRE	2.9	1.5	0	7
OQS	335.1	133.1	0	670
040	333.1	100.1	O	070
Lecturers				
RO	2.8	1.3	0	7
PE	2.1	1.3	Ö	7
CRE	1.9	1.3	Ö	6
OQS	253.8	116.7	0	685

Note: These statistics refer to the dataset used for the OLS regressions.

Appendix E Statistical significance of staff characteristics

In this appendix, the staff characteristics that were found to have a statistically significant impact on research performance at the 5 percent level are indicated in the tables below.

Table 7: Statistical significance of staff characteristics on research performance by regression model – all staff

rogrocolon mod	or an otan						
	Logistic	OLS					
	Quality weighted	RO	PE	CRE	OQS		
Gender		V			V		
Ethnicity	V		V	V			
Age	V	√	V	V	V		
FTE status			V	V	$\sqrt{}$		
Provider	V	V	V	V	V		
Position	V	V	V	V	V		
Subject area	V	V	V	V	V		

Notes:

- 1. 'RO' is the research output score for each staff member.
- 2. 'PE' is the peer esteem score for each staff member.
- 3. 'CRE' is the contribution to research environment score for each staff member.
- 4. 'OQS' is the overall quality score for each staff member.
- 5. 'Quality weighted' is the name given to a staff member who achieved an A, B or C quality category and hence attracted PBRF funding.
- 6. A tick indicates the characteristics were statistically significant at the 5 percent level.

Sources: Ministry of Education, Tertiary Education Commission.

Table 8: Statistical significance of staff characteristics on research performance by regression model – males

	Logistic	OLS				
	Quality weighted	RO	PE	CRE	OQS	
Ethnicity			\checkmark	\checkmark		
Age	$\sqrt{}$	\checkmark	\checkmark	\checkmark	\checkmark	
FTE status	$\sqrt{}$	\checkmark	\checkmark	\checkmark	\checkmark	
Provider	$\sqrt{}$	$\sqrt{}$	$\sqrt{}$		$\sqrt{}$	
Position	V	V	V	V		
Subject area	V	V	V	V	V	

Notes:

- 1. 'RO' is the research output score for each staff member.
- 2. 'PE' is the peer esteem score for each staff member.
- 3. 'CRE' is the contribution to research environment score for each staff member.
- 4. 'OQS' is the overall quality score for each staff member.
- 5. 'Quality weighted' is the name given to a staff member who achieved an A, B or C quality category and hence attracted PBRF funding.
- 6. A tick indicates the characteristics were statistically significant at the 5 percent level.

Table 9: Statistical significance of staff characteristics on research performance by regression model – females

	Logistic	OLS					
	Quality weighted	RO	PE	CRE	OQS		
Ethnicity							
Age	V	V	V	V			
FTE status	V		V	V			
Provider	V	V	V	V	V		
Position	V	V	V	V	V		
Subject area	V	V	V	V	V		

Notes:

- 1. 'RO' is the research output score for each staff member.
- 2. 'PE' is the peer esteem score for each staff member.
- 3. 'CRE' is the contribution to research environment score for each staff member.
- 4. 'OQS' is the overall quality score for each staff member.
- 5. 'Quality weighted' is the name given to a staff member who achieved an A, B or C quality category and hence attracted PBRF funding.
- 6. A tick indicates the characteristics were statistically significant at the 5 percent level.

Sources: Ministry of Education, Tertiary Education Commission.

Table 10: Statistical significance of staff characteristics on research performance by regression model – professors

		OLS						
	RO	RO PE CRE OQS						
Gender								
Ethnicity			V					
Age	V			V				
FTE status	V			V				
Provider	V	V	V	V				
Subject area	V	V	V	V				

Notes:

- 1. 'RO' is the research output score for each staff member.
- 2. 'PE' is the peer esteem score for each staff member.
- 3. 'CRE' is the contribution to research environment score for each staff member.
- 4. 'OQS' is the overall quality score for each staff member.
- 5. Due to a lack of observations a logistic regression analysis was unable to be carried out.
- 6. A tick indicates the characteristics were statistically significant at the 5 percent level.

Sources: Ministry of Education, Tertiary Education Commission.

Table 11: Statistical significance of staff characteristics on research performance by regression model – associate professors

		OLS						
	RO	PE	CRE	OQS				
Gender			V					
Ethnicity								
Age	V	V	V	V				
FTE status	V	V	V	V				
Provider	V	V	V	V				
Subject area	V	V	V	V				

Notes:

- 1. 'RO' is the research output score for each staff member.
- 2. 'PE' is the peer esteem score for each staff member.
- 3. 'CRE' is the contribution to research environment score for each staff member.
- 4. 'OQS' is the overall quality score for each staff member.
- 5. Due to a lack of observations a logistic regression analysis was unable to be carried out.
- 6. A tick indicates the characteristics were statistically significant at the 5 percent level. Sources: Ministry of Education, Tertiary Education Commission.

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Table 12: Statistical significance of staff characteristics on research performance by

regression model - senior lecturers

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	Logistic	OLS					
	Quality weighted	RO	PE	CRE	OQS		
Gender	V			V			
Ethnicity							
Age	V	V	V	V	V		
FTE status	V	V	V	V	V		
Provider	V	V			V		
Subject area	V	V			V		

Notes:

- 1. 'RO' is the research output score for each staff member.
- 2. 'PE' is the peer esteem score for each staff member.
- 3. 'CRE' is the contribution to research environment score for each staff member.
- 4. 'OQS' is the overall quality score for each staff member.
- 5. 'Quality weighted' is the name given to a staff member who achieved an A, B or C quality category and hence attracted PBRF funding.
- 6. A tick indicates the characteristics were statistically significant at the 5 percent level.

Sources: Ministry of Education, Tertiary Education Commission.

Table 13: Statistical significance of staff characteristics on research performance by regression model – lecturers

	Logistic	OLS				
	Quality weighted	RO	PE	CRE	OQS	
Gender		V			V	
Ethnicity						
Age	√	V	V		V	
FTE status	√					
Provider	√	V	V		V	
Subject area	√	V	V	V	V	

Notes:

- 1. 'RO' is the research output score for each staff member.
- 2. 'PE' is the peer esteem score for each staff member.
- 3. 'CRE' is the contribution to research environment score for each staff member.
- 4. 'OQS' is the overall quality score for each staff member.
- 5. 'Quality weighted' is the name given to a staff member who achieved an A, B or C quality category and hence attracted PBRF funding.
- 6. A tick indicates the characteristics were statistically significant at the 5 percent level. Sources: Ministry of Education, Tertiary Education Commission.

Appendix F Logistic regression results

Table 14: Logistic regression results of the impact of staff characteristics on the probability of staff

being quality weighted - all staff

Variables	Coefficient	Variables continued	Coefficient
Constant	-10.516**	Biomedical	-0.678
		Chemistry	-0.078
		Clinical Medicine	-1.465**
Age	1.013**	Communications, Journalism and Media	-0.541
Age ²	-0.021**	Studies	0.011
Age ³	0.000**	Computer Science, Information Technology,	-0.863*
Age	0.000	Information Services	-0.003
Candar (hasa famalas)	0.050		0.007**
Gender (base = females)	0.050	Dentistry	-2.227**
		Design	-1.989**
FTE status	1.225**	Earth Science	0.532
		Ecology, Evolution and Behaviour	0.692
Provider (base = University of Auckland)		Economics	-0.832
University of Canterbury	-0.196	Education	-1.400**
University of Otago	-0.116	Engineering and Technology	-0.025
Victoria University of Wellington	-0.149	English Language and Literature	-0.680
Lincoln University	-1.162**	Foreign Languages and Linguistics	-1.089*
University of Waikato	-0.773**	History, History of Art, Classics and Curatorial	-0.286
Auckland University of Technology	-2.884**	Studies	0.200
Massey University	-1.102**	Human Geography	0.409
Unitec	-2.974**	Law	-1.299**
Wintec	-3.503**	Management, Human Resources, Industrial	-1.253**
Auckland College of Education	-2.078**	Relations, International Business and Other	
Wellington College of Education	-4.927**	Business	
Christchurch College of Education	-3.308**	Māori Knowledge and Development	-0.581
Dunedin College of Education	-3.133**	Marketing and Tourism	-1.200**
Bible College of New Zealand	-4.352**	Molecular, Cellular and Whole Organism	-0.335
Ге Wānanga o Aotearoa	-1.455*	Biology	
Carey Baptist College	-3.511**	Music, Literary Arts and Other Arts	0.361
Whitecliffe College of Drama and Arts	-4.044**	Nursing	-3.576**
AIS St Helens	-3.477**	Other Health	-1.570**
Te Whare Wānanga o Te Pihopatanga o Aotearoa	-2.083*	Physics	-0.568
Anamata	-1.464	Political Science, International Relations and	-0.919
Bethlehem Institute of Education	-1.404	Public Policy	-0.919
Detinenem institute of Education	-		0.040
Desition (horse Designation)		Psychology	-0.048
Position (base = Professor)		Public Health	-0.891*
Academic	-2.710**	Pure and Applied Mathematics	-0.940*
Academic leadership role	-2.480**	Religious Studies and Theology	0.453
Assistant research fellow	-5.082**	Sociology, Social Policy, Social Work,	-0.380
Associate professor	0.229	Criminology and Gender Studies	
Lecturer	-4.387**	Sport and Exercise Science	-1.296**
Postdoctoral fellow	-4.135**	Statistics	-0.582
Research fellow	-3.664**	Theatre and Dance, Film and Television, and	-0.189
Research officer	-3.523**	Multimedia	
Researcher	-3.726**	Veterinary Studies and Large Animal Science	-1.574**
Senior lecturer	-2.943**	Visual Arts and Crafts	0.944*
Senior research fellow	-1.788**	Visual Arts and Craits	0.344
		Ethnicity (hoos European)	
Senior teaching fellow	-5.764**	Ethnicity (base = European)	0.007
Senior tutor	-6.181**	Māori	-0.307
Teaching fellow	-6.184**	Pasifika	-0.683*
Technician	-3.848**	Asian	-0.116
Tutor	-6.136**	Other	-0.020
Visiting academic	-4.405**	Not stated	-0.213
General	-2.427*		
Subject (base = Philosophy)			
Accounting and Finance	-1.984**	Log likelihood	-3,061.0
Agriculture and Other Applied Biological Sciences	0.069	Hosmer-Lemeshow χ^2	11.75
Anthropology and Archaeology	0.814	Pseudo R ²	0.414
Architecture, Design, Planning and Surveying	-0.647	Number of observations	7,752

Note: ** Significant at the 1 percent level *Significant at the 5 percent level. Sources: Ministry of Education, Tertiary Education Commission.

Table 15: Logistic regression results of the impact of staff characteristics on the probability of staff

being quality weighted - males

Variables	Coefficient	Variables continued	Coefficient
Constant	-12.437**	Biomedical	-0.553
		Chemistry	0.082
Age	1.160**	Clinical Medicine	-1.450**
Age ²	-0.025**	Communications, Journalism and Media Studies	-0.222
Age ³	0.000**	Computer Science, Information Technology,	-0.847
.90	0.000	Information Services	0.0
FTE status	1.486**	Dentistry	-2.465**
1 L Status	1.400	Design	-2.403
Provider (base = University of Auckland)		Earth Science	0.516
	-0.141		1.454*
Jniversity of Canterbury	0.147	Ecology, Evolution and Behaviour	_
Jniversity of Otago		Economics	-0.247
/ictoria University of Wellington	0.335	Education	-1.378**
incoln University	-1.180**	Engineering and Technology	0.001
University of Waikato	-0.510**	English Language and Literature	-0.528
Auckland University of Technology	-2.852**	Foreign Languages and Linguistics	-0.878
Massey University	-1.012**	History, History of Art, Classics and Curatorial	-0.049
Jnitec	-2.873**	Studies	
Vintec	-4.061**	Human Geography	0.125
luckland College of Education	-2.285**	Law	-1.364*
Vellington College of Education	-	Management, Human Resources, Industrial	-1.279*
Christchurch College of Education	-2.856**	Relations, International Business and Other	
Ounedin College of Education	-2.537**	Business	
Bible College of New Zealand	-4.031**	Māori Knowledge and Development	-0.556
e Wānanga o Aotearoa	-1.562	Marketing and Tourism	-1.180*
Carey Baptist College	-2.614*	Molecular, Cellular and Whole Organism Biology	-0.334
Whitecliffe College of Drama and Arts	-4.228**	Wolecular, Celiular and Whole Organism Blology	-0.554
•		Music Literary Arts and Other Arts	0.400
AIS St Helens	-3.189**	Music, Literary Arts and Other Arts	0.486
e Whare Wānanga o Te Pihopatanga o Aotearoa	-0.045	Nursing	-2.653**
Anamata	-a	Other Health	-1.236*
Bethlehem Institute of Education	-	Physics	-0.480
		Political Science, International Relations and	-0.999
Position (base = Professor)		Public Policy	
Academic	-2.309**	Psychology	0.110
Academic leadership role	-2.295**	Public Health	-0.750
Assistant research fellow	-5.694**	Pure and Applied Mathematics	-0.792
Associate professor	-0.230	Religious Studies and Theology	-0.055
ecturer	-4.546**	Sociology, Social Policy, Social Work,	-0.053
Postdoctoral fellow	-4.568**	Criminology and Gender Studies	0.000
Research fellow	-3.957**	Sport and Exercise Science	-0.736
Research officer	-3.497**	Statistics	-0.413
Researcher	-3.028**	Theatre and Dance, Film and Television, and	0.029
Senior lecturer		Multimedia	0.029
	-3.142**		4 000*
Senior research fellow	-2.076**	Veterinary Studies and Large Animal Science	-1.283*
Senior teaching fellow	-5.700**	Visual Arts and Crafts	0.811
Senior tutor	-5.983**		
Feaching fellow	-6.812**	Ethnicity (base = European)	
echnician	-4.108**	Māori	-0.353
-utor	-6.389**	Pasifika	-0.384
/isiting academic	-3.979**	Asian	0.086
General	-4.259*	Other	0.069
		Not stated	-0.245*
Subject (base = Philosophy)			
Accounting and Finance	-2.086**	Log likelihood	-1,698
Agriculture and Other Applied Biological Sciences	0.159	Pseudo R ²	0.394
Anthropology and Archaeology	0.726	Number of observations	4,534
Architecture, Design, Planning and Surveying	-0.639	14diffect of object valions	+,00 +
Architecture, Design, Planning and Surveying	-0.039		

Notes:

 ^{**} Significant at the 1 percent level *Significant at the 5 percent level.
 An 'a' indicates that the results have been withheld due to a small number of observations. Sources: Ministry of Education, Tertiary Education Commission.

Table 16: Logistic regression results of the impact of staff characteristics on the probability of staff

being quality weighted - females

Variables	Coefficient	Variables continued	Coefficient
Constant	-8.529**	Biomedical	-0.922
		Chemistry	-0.411
Age_	0.924*	Clinical Medicine	-1.595*
Age ²	-0.019*	Communications, Journalism and Media Studies	-1.028
Age ³	0.000*	Computer Science, Information Technology,	-1.217
.90	0.000	Information Services	
FTE status	0.966**	Dentistry	-2.103*
1 L Status	0.500	Design	-1.914*
Provider (base = University of Auckland)		Earth Science	0.652
University of Canterbury	-0.338	Ecology, Evolution and Behaviour	-0.296
University of Cariterbury University of Otago	-0.440	Economics	-2.262**
	-0.440 -0.700*	Education	
Victoria University of Wellington			-1.742*
Lincoln University	-0.824*	Engineering and Technology	0.547
University of Waikato	-1.092**	English Language and Literature	-0.944
Auckland University of Technology	-3.130**	Foreign Languages and Linguistics	-1.408*
Massey University	-1.286**	History, History of Art, Classics and Curatorial	-0.650
Unitec	-3.344**	Studies	
Wintec	-3.189**	Human Geography	0.427
Auckland College of Education	-2.112**	Law	-1.389
Wellington College of Education	-4.842**	Management, Human Resources, Industrial	-1.340
Christchurch College of Education	-3.739**	Relations, International Business and Other	
Dunedin College of Education	-3.538**	Business	
Bible College of New Zealand	-5.105**	Māori Knowledge and Development	-0.767
Te Wānanga o Aotearoa	-1.625	Marketing and Tourism	-1.413
Carey Baptist College	-	Molecular, Cellular and Whole Organism Biology	-0.437
Whitecliffe College of Drama and Arts	-3.904**	molocular, condiar and triloro organiom blology	0.107
AIS St Helens	-5.50-	Music, Literary Arts and Other Arts	0.030
Te Whare Wānanga o Te Pihopatanga o Aotearoa	_	Nursing	-4.581**
	-		-2.015**
Anamata	-	Other Health	
Bethlehem Institute of Education	-	Physics Physics Physics Physics	-0.754
-		Political Science, International Relations and	-0.842
Position (base = Professor)		Public Policy	
Academic	-3.577**	Psychology	-0.337
Academic leadership role	-2.945**	Public Health	-1.217
Assistant research fellow	-4.907**	Pure and Applied Mathematics	-1.334
Associate professor	2.883*	Religious Studies and Theology	1.670
Lecturer	-4.564**	Sociology, Social Policy, Social Work,	-0.746
Postdoctoral fellow	-4.000**	Criminology and Gender Studies	
Research fellow	-3.692**	Sport and Exercise Science	-2.543**
Research officer	-3.944**	Statistics	-0.981
Researcher	-4.273**	Theatre and Dance, Film and Television, and	-0.580
Senior lecturer	-2.934**	Multimedia	0.000
Senior research fellow	-1.647	Veterinary Studies and Large Animal Science	-2.222*
	-6.330**	Visual Arts and Crafts	1.178
Senior teaching fellow		Visual Arts and Craits	1.170
Senior tutor	-6.826**		
Teaching fellow	-5.848**	Ethnicity (base = European)	
Technician	-3.285*	Māori	-0.296
Tutor	-6.565**	Pasifika	-1.366*
Visiting academic	-4.980**	Asian	-0.410
		Other	-0.042
		Not stated	-0.170
Subject (base = Philosophy)	4.000**		4 00 = 0
Accounting and Finance	-1.938*	Log likelihood	-1,285.3
Agriculture and Other Applied Biological Sciences	0.096	Pseudo R ²	0.417
Anthropology and Archaeology Architecture, Design, Planning and Surveying	0.909 -0.468	Number of observations	3,187

Note: ** Significant at the 1 percent level *Significant at the 5 percent level.

Table 17: Logistic regression results of the impact of staff characteristics on the probability of staff

being quality weighted - senior lecturers

Variables	Coefficient	Variables continued	Coefficient
Constant	-11.959**	Ecology, Evolution and Behaviour	2.163*
		Economics	0.213
Age	0.961**	Education	-1.204
Age ²	-0.021**	Engineering and Technology	0.224
Age ³	0.000**	English Language and Literature	-0.378
7.95	0.000	Foreign Languages and Linguistics	-1.000
Gender (base = females)	-0.409**	History, History of Art, Classics and Curatorial	-0.235
Gender (base = remaies)	-0.403	Studies	-0.036
FTE status	1.921**		-1.004
FIE Sidius	1.921	Human Geography	
		Law	-0.828
		Management, Human Resources, Industrial	2.163
"		Relations, International Business and Other	
Provider (base = University of Auckland)		Business	
University of Canterbury	-0.194	Māori Knowledge and Development	0.389
University of Otago	0.570*	Marketing and Tourism	-0.267
Victoria University of Wellington	0.220	Molecular, Cellular and Whole Organism	1.360
Lincoln University	-1.738**	Biology	
University of Waikato	-0.505*	Music, Literary Arts and Other Arts	0.970
Auckland University of Technology	-3.703**	Nursing	-4.070**
Massey University	-1.147**	Other Health	-1.228
Unitec	-3.985**	Physics	-0.144
Wintec	-4.865**	Political Science, International Relations and	0.009
Auckland College of Education	-4.000	Public Policy	0.297
	-	Psychology	-1.494
Wellington College of Education	4 200**	, ,,	
Christchurch College of Education	-4.309**	Public Health	-0.736*
Dunedin College of Education	-2.817**	Pure and Applied Mathematics	0.091
Bible College of New Zealand	-	Religious Studies and Theology	-0.027
Te Wānanga o Aotearoa	-	Sociology, Social Policy, Social Work,	-0.802
Carey Baptist College	-	Criminology and Gender Studies	0.370
Whitecliffe College of Drama and Arts	a**	Sport and Exercise Science	-0.500
AIS St Helens	-	Statistics	-0.652
Te Whare Wānanga o Te Pihopatanga o Aotearoa	-	Theatre and Dance, Film and Television, and	1.360
Anamata	-	Multimedia	0.970
Bethlehem Institute of Education	-	Veterinary Studies and Large Animal Science	-4.070
		Visual Arts and Crafts	-1.228
		Violati / No and Grane	1.220
Subject (hase - Philosophy)		Ethnicity (base = European)	0.770*
Subject (base = Philosophy)	1 540*	Māori Pagifika	-0.779* 0.001
Accounting and Finance	-1.549*	Pasifika	0.001
Agriculture and Other Applied Biological Sciences	1.058	Asian	-0.077
Anthropology and Archaeology	1.227	Other	-0.031
Architecture, Design, Planning and Surveying	-0.106	Not stated	-0.387
Biomedical	-0.245		
Chemistry	0.042		
Clinical Medicine	-1.619*		
Communications, Journalism and Media Studies	0.615		
Computer Science, Information Technology,	-0.573		
Information Services	0.070		
Dentistry	-3.169**	Log likelihood	-1.001.4
Design	-3.109 -2.494*	Pseudo R ²	0.393
Earth Science	0.656	Number of observations	2,586
Latti Soletice	0.000	INUITING! OF ODSCIVATIONS	2,500

Notes:

^{1. **} Significant at the 1 percent level *Significant at the 5 percent level.

^{2.} An 'a' indicates that the results have been withheld due to a small number of observations.

Table 18: Logistic regression results of the impact of staff characteristics on the probability of staff

being quality weighted - lecturers

/ariables	Coefficient	Variables continued	Coefficien
Constant	-12.756**	Ecology, Evolution and Behaviour	0.372
		Economics	-1.439*
^A ge_	0.972**	Education	-1.771
Age ²	-0.022**	Engineering and Technology	0.349
Age ³	0.000**	English Language and Literature	-1.162
		Foreign Languages and Linguistics	-1.049
Gender (base = females)	0.136	History, History of Art, Classics and Curatorial Studies	-0.118
FTE status	0.847*	Human Geography	1.950
		Law	-1.462*
Provider (base = University of Auckland)		Management, Human Resources, Industrial	-1.548**
Jniversity of Canterbury	-0.232	Relations, International Business and Other	
University of Otago	-0.382	Business	
Victoria University of Wellington	-0.428	Māori Knowledge and Development	-0.872
	-1.030*		-1.818**
Lincoln University		Marketing and Tourism	
University of Waikato	-0.878**	Molecular, Cellular and Whole Organism	-0.041
Auckland University of Technology	-2.954**	Biology	
Massey University	-1.061**	Music, Literary Arts and Other Arts	0.143
Jnitec	-2.750**	Nursing	-3.610*
Vintec	-3.077**	Other Health	-1.634*
Auckland College of Education	-1.763**	Physics	-0.610
Wellington College of Education	-	Political Science, International Relations and	-1.245
Christchurch College of Education	_	Public Policy	1.2.0
Dunedin College of Education		Psychology	-0.126
	-1.901	Public Health	0.637
Bible College of New Zealand			
Ге Wānanga o Aotearoa	a	Pure and Applied Mathematics	-0.402
Carey Baptist College	-0.953	Religious Studies and Theology	-0.627
Whitecliffe College of Drama and Arts	-2.031*	Sociology, Social Policy, Social Work,	-0.484
AIS St Helens	-2.581*	Criminology and Gender Studies	
Ге Whare Wānanga o Те Pihopatanga o Aotearoa	-	Sport and Exercise Science	-1.411*
Anamata	_	Statistics	-1.144
Bethlehem Institute of Education	-	Theatre and Dance, Film and Television, and Multimedia	0.307
		Veterinary Studies and Large Animal Science	-2.192*
		Visual Arts and Crafts	0.949
		Ethnicity (base = European)	
Subject (base = Philosophy)		Māori	-0.374
Accounting and Finance	-2.498**	Pasifika	-0.672
Agriculture and Other Applied Biological Sciences	-0.501	Asian	0.184
Anthropology and Archaeology	0.404	Other	-0.137
Architecture, Design, Planning and Surveying	-0.198	Not stated	-0.098
	-0.078		
Biomedical	-0.519		
Chemistry			
Chemistry Clinical Medicine	-1.734*		
Chemistry			
Chemistry Clinical Medicine Communications, Journalism and Media Studies Computer Science, Information Technology,	-1.734*		
Chemistry Clinical Medicine Communications, Journalism and Media Studies Computer Science, Information Technology,	-1.734* -0.722		
Chemistry Clinical Medicine Communications, Journalism and Media Studies Computer Science, Information Technology, nformation Services	-1.734* -0.722 -1.171	Log likelihood	-959.2
Chemistry Clinical Medicine Communications, Journalism and Media Studies Computer Science, Information Technology,	-1.734* -0.722	Log likelihood Pseudo R ²	-959.2 0.272

Notes:

^{1. **} Significant at the 1 percent level *Significant at the 5 percent level.

^{2.} An 'a' indicates that the results have been withheld due to a small number of observations.

Appendix G OLS regression results

Table 19: OLS regression results of the impact of staff characteristics on research performance by

research quality score - all staff

			rch score	
Variables	RO coefficients	PE coefficients	CRE coefficients	OQS coefficients
Constant	-2.97*	-4.85**	-5.39**	-359.8**
\ge_	0.63**	0.71**	0.67**	64.5**
oge ²	-0.01**	-0.01**	-0.01**	-1.3**
ge ³	0.00**	0.00**	0.00**	0.0**
Gender (base = females)	0.16**	0.03	-0.04	10.7**
TE status	0.30**	0.43**	0.67**	37.7**
Provider (base = University of Auckland)				
Iniversity of Canterbury	-0.16*	-0.18**	-0.26**	-17.7**
Iniversity of Otago	-0.00	0.14*	0.18**	4.6
ictoria University of Wellington	-0.23**	-0.06	0.02	-17.2**
incoln University	-0.77**	-0.93**	-0.70**	-78.1**
Iniversity of Waikato	-0.31**	-0.22**	-0.13	-26.8**
uckland University of Technology	-1.12**	-0.98**	-1.08**	-109.2**
lassey University	-0.65**	-0.61**	-0.64**	-109.2 -64.4**
nitec	-0.83**	-0.90**	-1.20**	-89.3**
	-0.63 -1.73**		-1.20 -1.44**	-09.3 -164.7**
Vintec		-1.48**		
uckland College of Education	-0.82**	-0.39*	-0.64**	-72.5**
Vellington College of Education	-1.96**	-1.67**	-1.91**	-191.0**
hristchurch College of Education	-1.77**	-1.50**	-1.58**	-172.1**
unedin College of Education	-1.95**	-1.90**	-1.84**	-192.4**
ible College of New Zealand	-2.07**	-1.93**	-2.36**	-208.9**
e Wānanga o Aotearoa	-1.19**	-1.26**	-0.93*	-116.4**
arey Baptist College	а	а	а	a**
Vhitecliffe College of Drama and Arts	-2.38**	-2.53**	-2.40**	-240.7**
IS St Helens	a**	a**	a**	a**
e Whare Wānanga o Te Pihopatanga o Aotearoa	-1.83**	-3.57**	-2.76**	-222.7**
namata	а	a**	a*	a
ethlehem Institute of Education	a	a	a	a
Position (base = Professor)				
Academic	-2.08**	-2.65**	-2.41**	-220.9**
cademic leadership role	-1.28**	-1.33**	-1.19**	-127.0**
ssistant research fellow	-3.09**	-4.27**	-3.86**	-337.8**
ssociate professor	-0.75**	-1.07**	-0.96**	-82.7**
ecturer	-2.70**	-3.26**	-3.08**	-283.6**
Postdoctoral fellow	-3.06**	-3.79**	-3.91**	-329.4**
Research fellow	-2.55**	-3.57**	-3.42**	-283.6**
Research officer	-2.25**	-2.85**	-2.71**	-240.6**
esearcher	-2.40**	-3.44**	-2.85**	-262.4**
Senior lecturer	-1.88**	-2.37**	-2.03 -2.14**	-199.4**
enior research fellow	-1.34**	-2.23**	-1.91**	-156.0**
	-1.3 4 -2.90**	-2.23 -3.38**	-3.15**	-300.3**
enior teaching fellow	-2.90 -3.19**	-3.82**	-3.15 -3.60**	-300.3 -334.5**
enior tutor				
eaching fellow	-2.75**	-3.80**	-3.57**	-303.2**
echnician	-2.63**	-3.68**	-3.26**	-288.3**
utor	-2.92**	-3.25**	-3.37**	-303.3**
isiting academic	-2.53**	-2.74**	-2.52**	-255.0**
eneral	-2.39**	-3.83**	-3.14**	-272.3**
Subject (base = Philosophy)				
accounting and Finance	-1.28**	-1.86**	-1.56**	-140.9**
griculture and Other Applied Biological Sciences	-0.72**	-0.38*	0.19	-53.4**
Anthropology and Archaeology	-0.50*	-0.38	0.10	-39.4*
Architecture, Design, Planning and Surveying	-1.03**	-0.56**	-0.46*	-87.1**

Table 19: OLS regression results of the impact of staff characteristics on research performance by research quality score - all staff - continued

	Research score				
Variables	RO	PE	CRE	OQS	
	coefficients	coefficients	coefficients	coefficients	
Biomedical	-0.70**	-0.79**	-0.17	-63.4**	
Chemistry	-0.52**	-0.34	0.25	-37.6*	
Clinical Medicine	-1.44**	-1.33**	-0.91**	-134.4**	
Communications, Journalism and Media Studies	-0.80**	-1.01**	-0.68**	-81.4**	
Computer Science, Information Technology,	-0.79**	-0.88**	-0.45	-75.6**	
Information Services					
Dentistry	-1.37**	-1.34**	-1.10**	-132.1**	
Design	-1.48**	-1.82**	-1.25**	-149.8**	
Earth Science	-0.57**	-0.23	0.45*	-36.5*	
Ecology, Evolution and Behaviour	-0.47**	0.13	0.37	-29.1	
Economics	-0.93**	-0.75**	-0.32	-81.0**	
Education	-1.20**	-1.45**	-1.00**	-120.8**	
Engineering and Technology	-0.42**	-0.34*	0.03	-34.3*	
English Language and Literature	-0.81**	-0.98**	-0.87**	-84.2**	
Foreign Languages and Linguistics	-0.73**	-0.85**	-0.63**	-73.1**	
History, History of Art, Classics and Curatorial	-0.55**	-0.46*	-0.40*	-51.5**	
Studies					
Human Geography	-0.53*	-0.38	0.07	-41.9*	
Law	-1.25**	-0.88**	-0.98**	-115.4**	
Management, Human Resources, Industrial	-1.26**	-1.20**	-0.73**	-117.4**	
Relations, International Business and Other					
Business					
Māori Knowledge and Development	0.01	-0.01	0.08	1.4	
Marketing and Tourism	-1.28**	-1.27**	-0.95**	-122.6**	
Molecular, Cellular and Whole Organism Biology	-0.56**	-0.59**	0.04	-48.9**	
Music, Literary Arts and Other Arts	-0.24	-0.45*	-0.79**	-35.3*	
Nursing	-2.46**	-1.95**	-1.32**	-221.4**	
Other Health	-1.32**	-1.06**	-0.62**	-117.8**	
Physics	-0.55**	-0.40	0.17	-41.7*	
Political Science, International Relations and Public	-0.48*	-0.79**	-0.59**	-54.1**	
Policy					
Psychology	-0.44**	-0.22	0.23	-30.5	
Public Health	-1.02**	-0.94**	-0.25	-89.2**	
Pure and Applied Mathematics	-0.22	-0.41*	0.06	-22.7	
Religious Studies and Theology	-0.28	-0.41	0.17	-28.0	
Sociology, Social Policy, Social Work, Criminology	-0.89**	-0.61**	-0.14	-73.2**	
and Gender Studies					
Sport and Exercise Science	-1.71**	-1.49**	-1.20**	-159.6**	
Statistics	-0.62**	-0.88**	-0.75**	-67.4**	
Theatre and Dance, Film and Television, and	-0.52*	-0.97**	-1.03**	-66.6**	
Multimedia					
Veterinary Studies and Large Animal Science	-1.19**	-1.85**	-1.66**	-135.8**	
Visual Arts and Crafts	0.07	-0.61**	-0.90**	-18.1	
Ethnicity (base = European)					
Māori	-0.16	0.29**	0.16	-4.4	
Pasifika	-0.30	-0.08	-0.17	-24.6	
Asian	0.03	-0.24**	-0.30**	-6.1	
Other	-0.03	-0.05	-0.08	-3.8	
Not stated	-0.02	-0.04	-0.12*	-4.1	
F statistic	56.2	67.8	66.6	71.9	
R^2	0.47	0.52	0.52	0.53	
Number of observations	5,640	5,641	5,641	5,641	

Notes:

^{1. **} Significant at the 1 percent level *Significant at the 5 percent level.

^{2.} The OLS regressions used data for staff who were panel assessed. Therefore, the results of these regressions should not be compared with analysis that includes data for all PBRF-eligible staff.

^{3.} An 'a' indicates that the results have been withheld due to a small number of observations.

Sources: Ministry of Education, Tertiary Education Commission.

Table 20: OLS regression results of the impact of staff characteristics on research performance by

research quality score - males

			ch score		
Variables	RO	PE	CRE	OQS	
0	coefficients	coefficients	coefficients	coefficients	
Constant	-3.70*	-5.52**	-6.08**	-433.2**	
Age	0.69**	0.77**	0.75**	70.7**	
Age ²	-0.01**	-0.01**	-0.01**	-1.4**	
Age ³	0.00**	0.00**	0.00**	0.0**	
. 9-					
FTE status	0.36*	0.38*	0.65**	40.1**	
Provider (base = University of Auckland)					
University of Canterbury	-0.19**	-0.18*	-0.25**	-20.2**	
University of Otago	0.08	0.22**	0.26**	12.9*	
Victoria University of Wellington	-0.11	-0.03	-0.01	-8.6	
Lincoln University	-0.78**	-0.92**	-0.65**	-78.1**	
University of Waikato	-0.22**	-0.08	-0.06	-17.3*	
Auckland University of Technology	-1.11**	-0.90**	-0.92**	-105.5**	
Massey University	-0.63**	-0.52**	-0.56**	-60.7**	
Unitec	-0.95**	-0.91**	-1.17**	-98.0**	
Wintec	-1.99**	-1.76**	-1.69**	-191.1**	
Auckland College of Education	-0.81*	-0.72*	-0.01**	-82.4**	
Wellington College of Education	а	а	а	а	
Christchurch College of Education	-1.55**	-1.41**	-1.40**	-150.7**	
Dunedin College of Education	-1.91**	-2.28**	-2.39**	-203.3**	
Bible College of New Zealand	-1.79**	-2.45**	-2.60**	-201.2**	
Te Wānanga o Aotearoa	-0.94	-1.95**	-1.30*	-114.7*	
Carey Baptist College	а	-0.59	-0.93	-3.5	
Whitecliffe College of Drama and Arts	-2.70**	-2.75**	-2.31**	-265.2**	
AIS St Helens	a**	a**	a**	a**	
Te Whare Wānanga o Te Pihopatanga o Aotearoa	-1.53*	-4.19**	-3.16**	-218.2**	
Anamata	a	a**	a*	а	
Position (base = Professor)					
Academic	-2.08**	-2.69**	-2.33**	-221.3**	
Academic leadership role	-1.14**	-1.06**	-1.06**	-111.8**	
Assistant research fellow	-3.21**	-4.22**	-4.19**	-351.3**	
Associate professor	-0.82**	-1.15**	-1.04**	-90.4**	
Lecturer	-2.77**	-3.28**	-3.09**	-290.0**	
Postdoctoral fellow	-3.20**	-3.93**	-4.02**	-343.5**	
Research fellow	-2.62**	-3.60**	-3.46**	-289.7**	
Research officer	-2.26**	-2.97**	-2.80**	-245.2**	
Researcher	-2.27**	-3.35**	-2.91**	-253.3**	
Senior lecturer	-1.96**	-2.41**	-2.19**	-206.6**	
Senior research fellow	-1.44**	-2.32**	-1.94**	-164.9**	
Senior teaching fellow	-2.81**	-3.10**	-2.65**	-283.7**	
Senior tutor	-3.11**	-3.77** 2.50**	-3.79**	-331.4**	
Teaching fellow	-1.76** -2.75**	-2.59** -3.72**	-2.63**	-201.7**	
Technician			-3.44**	-300.2**	
Tutor	-3.19**	-2.47** 2.27**	-2.82**	-302.8** -305.7**	
Visiting academic General	-3.34** -3.19**	-2.37** -4.99**	-2.39** -4.00**	-358.4**	
Subject (base = Philosophy)					
Accounting and Finance	-1.27**	-1.99**	-1.67**	-144.2**	
Agriculture and Other Applied Biological Sciences	-0.67**	-0.50*	0.18	-52.2**	
Anthropology and Archaeology	-0.57*	-0.65*	-0.00	-49.9*	
Architecture, Design, Planning and Surveying	-1.00**	-0.55*	-0.42	-84.8**	
Biomedical	-0.69**	-0.93**	-0.09	-64.3**	
Chemistry	-0.49*	-0.45*	0.29	-37.2*	
Clinical Medicine	-1.44**	-1.54**	-1.03**	-139.6**	
Communications, Journalism and Media Studies	-0.80**	-1.20**	-0.61*	-83.7**	

Table 20: OLS regression results of the impact of staff characteristics on research performance by

research quality score - males - continued

research quality score - males - continued	Research score					
Variables	RO	PE	CRE	OQS		
	Coefficients	Coefficients	coefficients	coefficients		
Computer Science, Information Technology,	-0.75**	-0.97**	-0.35	-72.6**		
Information Services						
Dentistry	-1.22**	-1.37**	-1.08**	-122.4**		
Design	-1.53**	-1.93**	-1.51**	-159.3**		
Earth Science	-0.42*	-0.31	0.49*	-27.0		
Ecology, Evolution and Behaviour	-0.32	-0.13	0.53*	-16.9		
Economics	-0.82**	-0.79**	-0.28	-74.1**		
Education	-1.28**	-1.51**	-1.03**	-128.2**		
Engineering and Technology	-0.37*	-0.43*	0.06	-31.5		
English Language and Literature	-0.75**	-1.08**	-0.76**	-80.9**		
Foreign Languages and Linguistics	-0.65**	-0.83**	-0.61*	-67.8**		
History, History of Art, Classics and Curatorial	-0.44*	-0.54*	-0.36	-45.0*		
Studies						
Human Geography	-0.68**	-0.52	0.03	-55.4*		
Law	-1.06**	-0.90**	-0.86**	-100.9**		
Management, Human Resources, Industrial	-1.29**	-1.47**	-0.81**	-125.0**		
Relations, International Business and Other						
Business						
Māori Knowledge and Development	-0.29	-0.33	-0.05	-26.6		
Marketing and Tourism	-1.31**	-1.44**	-1.10**	-130.1**		
Molecular, Cellular and Whole Organism Biology	-0.58**	-0.66**	0.00	-51.0**		
Music, Literary Arts and Other Arts	-0.17	-0.59**	-0.90**	-34.5		
Nursing	-2.00**	-2.07**	-1.65**	-196.2**		
Other Health	-1.00**	-0.95**	-0.46	-91.8**		
Physics	-0.48*	-0.48*	0.18	-38.1*		
Political Science, International Relations and Public	-0.47*	-1.05**	-0.65*	-59.1**		
Policy						
Psychology	-0.30	-0.35	0.21	-23.5		
Public Health	-0.91**	-1.04**	-0.22	-83.2**		
Pure and Applied Mathematics	-0.14	-0.51*	-0.07	-19.0		
Religious Studies and Theology	-0.36	-0.62	-0.21	-37.8		
Sociology, Social Policy, Social Work, Criminology	-0.78**	-0.74**	-0.27	-70.5**		
and Gender Studies						
Sport and Exercise Science	-1.54**	-1.60**	-1.20**	-150.4**		
Statistics	-0.70**	-1.08**	-0.78**	-77.4**		
Theatre and Dance, Film and Television, and	-0.40	-0.94**	-1.47**	-64.9*		
Multimedia						
Veterinary Studies and Large Animal Science	-1.04**	-1.84**	-1.60**	-125.2**		
Visual Arts and Crafts	0.22	-0.71**	-0.86**	-8.0		
Ethnicity (base = European)						
Māori	0.02	0.42**	0.18	11.2		
Pasifika	-0.25	-0.10	-0.37	-24.9		
Asian	0.04	-0.28**	-0.37**	-6.5		
Other	0.03	-0.05	-0.12	-0.4		
Not stated	-0.04	-0.06	-0.15**	6.6		
			-			
R^2	0.45	0.52	0.52	0.52		
Number of observations	3,615	3,615	3,615	3,615		
N1-4	- , - · -	-,	- , - · -	- 1		

Notes

^{1. **}Significant at the 1 percent level *Significant at the 5 percent level.

^{2.} The OLS regressions used data for staff who were panel assessed. Therefore, the results of these regressions should not be compared with analysis that includes data for all PBRF eligible staff.

^{3.} An 'a' indicates that the results have been withheld due to a small number of observations.

Sources: Ministry of Education, Tertiary Education Commission.

Table 21: OLS regression results of the impact of staff characteristics on research performance by

research quality score - females

			ch score	
Variables	RO coefficients	PE coefficients	CRE coefficients	OQS coefficients
Constant	-1.25	-2.69**	-4.80**	-218.5
Age	0.52**	0.66**	0.63**	56.1**
Age ²	-0.01**	-0.01**	-0.01**	-1.1**
Age ³	0.00**	0.00**	0.00**	0.0**
FTE status	0.19	0.52**	0.71**	32.0*
Provider (base = University of Auckland)				
University of Canterbury	-0.03	-0.11	-0.22	-7.3
University of Otago	-0.13	-0.00	0.03	-8.7
Victoria University of Wellington	-0.42**	-0.11	-0.03	-31.7**
Lincoln University	-0.67**	-0.83**	-0.67**	-69.6**
University of Waikato	-0.46**	-0.47**	-0.26*	-43.7**
Auckland University of Technology	-1.20**	-1.12**	-1.32**	-121.1**
Massey University	-0.71**	-0.76**	-0.79**	-72.8**
Unitec	-0.65**	-0.85**	-1.25**	-77.6**
Wintec	-1.46**	-1.19**	-1.16**	-138.3**
Auckland College of Education	-0.85**	-0.19	-0.53**	-70.7**
Wellington College of Education	-2.06**	-1.53**	-1.99**	-197.3**
Christchurch College of Education	-1.97**	-1.54**	-1.66**	-188.3**
Dunedin College of Education	-1.99**	-1.66**	-1.59**	-188.3**
Bible College of New Zealand	-2.75**	-0.00	-1.26	-211.8**
Te Wānanga o Aotearoa	-1.64*	-0.59	-0.76	-135.2*
Whitecliffe College of Drama and Arts	a*	a**	a**	a*
Bethlehem Institute of Education	a	a	a	a
Position (base = Professor)				
Academic	-2.02**	-2.64**	-2.52**	-218.5**
Academic leadership role	-1.41**	-1.74**	-1.31**	-144.9**
Assistant research fellow	-2.87**	-4.19**	-3.41**	-315.6**
Associate professor	-0.31*	-0.64**	-0.52**	-39.4**
Lecturer	-2.47**	-3.14**	-2.95**	-264.4**
Postdoctoral fellow	-2.72**	-3.47**	-3.57**	-296.5**
Research fellow	-2.32**	-3.42**	-3.21**	-262.2**
Research officer	-2.15**	-2.58**	-2.43**	-225.7**
Researcher	-2.38**	-3.42**	-2.60**	-257.0**
Senior lecturer	-1.64**	-2.20**	-1.96**	-177.4**
Senior research fellow	-1.08**	-1.99**	-1.65**	-130.4**
Senior teaching fellow	-2.90**	-3.72**	-3.70**	-314.5**
Senior tutor	-3.15**	-3.82**	-3.29**	-327.9**
Teaching fellow	-3.22**	-4.39**	-4.10**	-353.3**
Technician	-2.25**	-3.46**	-2.55**	-247.6**
Tutor	-2.43**	-3.88**	-3.70**	-284.1**
Visiting academic	-1.95**	-2.91**	-2.60**	-217.4**
General	-1.51*	-3.04**	-2.39**	-187.2**
Subject (base = Philosophy)				
Accounting and Finance	-1.42**	-1.64**	-1.41**	-145.3**
Agriculture and Other Applied Biological Sciences	-0.78*	-0.11	0.02	-56.5
Anthropology and Archaeology	-0.55	0.03	0.11	-36.3
Architecture, Design, Planning and Surveying	-1.14**	-0.61	-0.60	-98.5**
Biomedical	-0.89**	-0.63	-0.49	-79.5**
Chemistry	-0.68*	-0.12	-0.10	-51.8
Clinical Medicine	-1.60**	-0.92**	-0.81*	-138.3**
Communications, Journalism and Media Studies	-0.98**	-0.75*	-0.90*	-94.2**

Table 21: OLS regression results of the impact of staff characteristics on research performance by research quality score - females - continued

research quality score - lemales - continued		Researd	ch score	
Variables	RO	PE	CRE	OQS
	Coefficients	Coefficients	coefficients	coefficients
Computer Science, Information Technology,	-1.14**	-0.87*	-1.13**	-110.4**
Information Services				
Dentistry	-1.79**	-1.35**	-1.26**	-165.2**
Design	-1.54**	-1.63**	-0.97*	-147.4**
Earth Science	-1.15**	-0.16	0.18	-80.2**
Ecology, Evolution and Behaviour	-1.00**	-0.30	-0.30	-79.6**
Economics	-1.30**	-0.80*	-0.61	-112.9**
Education	-1.37**	-1.31**	-1.15**	-133.7**
Engineering and Technology	-0.58	-0.15	-0.29	-48.0
English Language and Literature	-1.02**	-0.79*	-1.14**	-100.8**
Foreign Languages and Linguistics	-0.99**	-0.84*	-0.85**	-95.4**
History, History of Art, Classics and Curatorial	-0.89**	-0.34	-0.64	-77.3**
Studies				
Human Geography	-0.42	-0.15	-0.06	-32.9
Law	-1.69**	-0.83*	-1.28**	-150.2**
Management, Human Resources, Industrial	-1.37**	-0.80*	-0.75*	-119.5**
Relations, International Business and Other				
Business				
Māori Knowledge and Development	0.23	0.47	0.13	25.6
Marketing and Tourism	-1.34**	-0.93**	-0.75*	-119.2**
Molecular, Cellular and Whole Organism Biology	-0.64*	-0.49	-0.29	-56.6*
Music, Literary Arts and Other Arts	-0.68	-0.23	-0.56	-60.2*
Nursing	-2.79**	-1.81**	-1.45**	-244.5**
Other Health	-1.73**	-0.99**	-0.83*	-149.0**
Physics	-0.88	-0.14	0.15	-61.4
Political Science, International Relations and Public	-0.58	-0.24	-0.52	-52.1
Policy				
Psychology	-0.79*	-0.05	0.08	-55.4
Public Health	-1.27**	-0.77*	-0.45	-107.5**
Pure and Applied Mathematics	-0.84	-0.26	0.14	-61.2
Religious Studies and Theology	-0.45	-0.27	-0.51	-43.5
Sociology, Social Policy, Social Work, Criminology	-1.16**	-0.42	-0.20	-91.3**
and Gender Studies	0	0.12	0.20	01.0
Sport and Exercise Science	-2.33**	-1.42**	-1.48**	-206.8**
Statistics	-0.41	-0.45	-0.85*	-48.6
Theatre and Dance, Film and Television, and	-0.76*	-0.90*	-0.68	-77.1*
Multimedia	0.70	0.00	0.00	
Veterinary Studies and Large Animal Science	-1.62**	-2.10**	-1.94**	-173.0**
Visual Arts and Crafts	-0.29	-0.44	-1.05**	-42.7
Violati / tito and Orano	0.20	0.11	1.00	12.7
Ethnicity (hase = European)				
	-0.34**	0.19	0.16	-18 6
1101 014104	5.00	3.00	5.02	0.2
R^2	0.46	0.49	0.49	0.51
Ethnicity (base = European) Māori Pasifika Asian Other Not stated R ² Number of observations	-0.29 -0.34** -0.41 -0.03 -0.10 0.00 0.46 2,025	0.19 -0.06 -0.14 -0.00 -0.00 0.49 2,026	0.16 0.16 -0.13 0.06 -0.02 0.49 2,026	-18.6 -27.9 -6.5 -6.6 -0.2

Notes

^{1. **}Significant at the 1 percent level *Significant at the 5 percent level.

^{2.} The OLS regressions used data for staff that were panel assessed. Therefore, the results of these regressions should not be compared to analysis that includes data for all PBRF-eligible staff.

^{3.} An 'a' indicates that the results have been withheld due to a small number of observations.

Table 22: OLS regression results of the impact of staff characteristics on research performance by

research quality score - professors

<u>-</u>	Research score				
Variables	RO coefficients	PE coefficients	CRE coefficients	OQS coefficient	
Constant	6.96**	6.77**	7.67**	699.2**	
Age	-0.03**	-0.01	-0.02	-2.3**	
Gender (base = females)	0.13	-0.14	-0.13	5.3	
FTE status	0.68*	0.43	0.45	61.1*	
Provider (base = University of Auckland)					
University of Canterbury	-0.27	-0.14	-0.18	-24.0	
University of Otago	-0.08	0.03	0.10	-3.7	
Victoria University of Wellington	-0.48*	-0.31	-0.18	-41.6*	
Lincoln University	-0.87**	-0.94**	-0.46	-82.2*	
University of Waikato	-0.14	-0.38*	-0.12	-17.6	
Auckland University of Technology	-0.75*	-0.89**	-0.39	-70.8*	
Massey University	-0.68**	-0.55**	-0.43	-62.8*	
Unitec	-0.99	-0.82	-1.25*	-100.9*	
Wellington College of Education	-a**	-0.62 -a**	-1.25 -a**	-100.9 -a**	
Subject (base = Philosophy)					
Accounting and Finance	-1.12*	-2.03**	-2.44**	-145.9*	
	-1.12 -0.46	-2.03 -0.44	-2.44	-53.3	
Agriculture and Other Applied Biological Sciences	-0.40 -0.62	-0.44 -1.34*	-0.96 -1.18	-55.5 -81.8	
Anthropology and Archaeology					
Architecture, Design, Planning and Surveying	-0.77	-1.05	-1.06	-86.0	
Biomedical	-0.31	-0.95	-0.98	-51.4	
Chemistry	-0.00	-0.50	-0.42	-14.0	
Clinical Medicine	-1.22*	-1.99**	-2.10**	-147.2*	
Communications, Journalism and Media Studies	-a	-a	-a	-a	
Computer Science, Information Technology, Information Services	-0.33	-1.01*	-1.02	-54.0	
Dentistry	-1.10	-2.04**	-2.90**	-151.4*	
Earth Science	-0.18	-0.61	-0.42	-28.2	
Ecology, Evolution and Behaviour	-0.46	-0.52	-0.47	-47.5	
Economics	-0.93	-0.44	-0.21	-75.3	
Education	-0.28	-1.09*	-1.21*	-54.3	
Engineering and Technology	0.04	-0.43	-0.38	-9.8	
English Language and Literature	-0.96	-1.56**	-1.98**	-120.7*	
Foreign Languages and Linguistics	-0.08	-1.07	-1.10	-38.8	
History, History of Art, Classics and Curatorial Studies	-0.56	-1.39**	-1.58**	-84.1	
Human Geography	-0.39	-0.83	-0.50	-48.0	
Law	-0.83	-1.29*	-2.18**	-110.9*	
Management, Human Resources, Industrial Relations, International Business and Other	-1.05*	-1.37**	-1.66**	-119.5*	
Business					
Māori Knowledge and Development	-0.86	-1.76*	-2.20**	-120.3	
Marketing and Tourism	-1.10*	-1.11*	-1.53	-117.2*	
Molecular, Cellular and Whole Organism Biology	-0.24	-0.64	-0.59	-35.9	
Music, Literary Arts and Other Arts	0.32	-1.06	-1.48	-15.5	
Nursing	-a**	-a**	-a**	-a**	
Other Health	-0.62	-1.10	-1.18	-78.4	
Physics	-0.12	-0.47	-0.12	-17.5	
Political Science, International Relations and Public Policy	-0.41	-1.04	-0.54	-53.1	
Psychology	-0.35	-0.75	-1.16	-53.8	
Public Health	-0.52	-0.85	-0.76	-60.9	
Pure and Applied Mathematics	0.37	-0.16	-0.09	22.4	

Table 22: OLS regression results of the impact of staff characteristics on research performance by research quality score - professors - continued

	Research score			
Variables	RO	PE	CRE	OQS
	coefficients	Coefficients	Coefficients	coefficients
Religious Studies and Theology	-0.43	-0.82	-1.99**	-72.7
Sociology, Social Policy, Social Work, Criminology	-0.87	-1.46*	-1.46*	-105.4
and Gender Studies				
Sport and Exercise Science	-a	-a	-a	-a
Statistics	-0.22	-0.44	-0.82	-34.6
Veterinary Studies and Large Animal Science	а	-0.48	-1.76*	6.4
Visual Arts and Crafts	-a	-a**	-a**	-a*
Ethnicity (base = European)				
Māori	-0.19	0.50	0.84*	6.6
Pasifika	-a	-a	-a	-a
Asian	-0.38	-0.64	-1.13*	-53.8
Other	0.22	-0.00	-0.19	12.4
Not stated	0.01	-0.04	-0.27	-3.5
R^2	0.26	0.27	0.31	0.30
Number of observations	565	565	565	565

Notes:

^{1. **}Significant at the 1 percent level *Significant at the 5 percent level.

^{2.} The OLS regressions used data for staff who were panel assessed. Therefore, the results of these regressions should not be compared with analysis that includes data for all PBRF eligible staff.

^{3.} An 'a' indicates that the results have been withheld due to a small number of observations.

Table 23: OLS regression results of the impact of staff characteristics on research performance by research quality score - associate professors

research quality score - associate professors	Research score			
Variables	RO coefficients	PE coefficients	CRE coefficients	OQS coefficients
Constant	6.93**	6.46**	5.69**	667.7**
Age	-0.04**	-0.04**	-0.04**	-4.37**
Age ²	-	-	-	-
Age ³	-	-	-	-
Gender (base = females)	0.03	-0.23	-0.32*	-5.7
FTE status	0.91**	1.61**	1.72**	114.2**
Provider (base = University of Auckland)				
University of Canterbury	-0.42**	-0.35*	-0.44*	-42.0**
University of Otago	-0.08	-0.07	0.04	-6.4
Victoria University of Wellington	-0.37*	-0.41*	-0.12	-34.0*
Lincoln University	-1.37**	-0.79*	-0.94**	-122.7**
University of Waikato	-0.47**	-0.62**	-0.28	-46.7**
Auckland University of Technology	-1.38**	-1.47**	-1.36**	-139.5**
Massey University	-0.75**	-0.66**	-0.51**	-70.5**
Unitec	-1.02**	-1.22**	-1.46**	-112.1**
Bible College of New Zealand	a	a	a	a
Outries (hann Bhilinean ha)				
Subject (base = Philosophy)	-0.44	-2.34**	1 77**	02.4*
Accounting and Finance			-1.77**	-93.1*
Agriculture and Other Applied Biological Sciences	-0.26	-0.74	0.02	-29.2
Anthropology and Archaeology	-0.96	-1.33*	-1.37*	-108.3*
Architecture, Design, Planning and Surveying	-1.22**	-0.74	-0.91	-110.7**
Biomedical	-0.63	-1.58**	-0.50	-75.6*
Chemistry	80.0	-0.58	0.33	2.2
Clinical Medicine	-1.03**	-1.24**	-0.91*	-104.2**
Communications, Journalism and Media Studies	а	а	а	а
Computer Science, Information Technology,	-0.53	-1.06*	-0.17	-55.9
Information Services				
Dentistry	а	а	а	a
Design	а	а	а	а
Earth Science	-0.46	-0.70	0.40	-36.8
Ecology, Evolution and Behaviour	-0.40	-0.53	0.50	-28.7
Economics	-0.95*	-1.21**	-0.49	-92.5*
Education	-0.27	-0.97*	-0.25	-37.5
Engineering and Technology	0.24	-0.18	0.28	18.8
English Language and Literature	-0.20	-0.94	-1.02*	-43.5
Foreign Languages and Linguistics	-0.34	-0.09	-0.02	-25.5
History, History of Art, Classics and Curatorial	-0.24	-0.53	-0.53	-33.1
Studies	0.45	0.00	0.14	47.2
Human Geography	-0.45	-0.90	-0.14	-47.3
Law	-0.49	-0.81	-0.59	-55.5
Management, Human Resources, Industrial	-0.52	-1.31**	-0.54	-64.6
Relations, International Business and Other				
Business				
Māori Knowledge and Development	а	a	a	a
Marketing and Tourism	-0.80	-1.62**	-0.95	-95.1*
Molecular, Cellular and Whole Organism Biology	-0.56	-0.89*	0.12	-51.2
Music, Literary Arts and Other Arts	0.37	-0.61	-0.83	4.3
Nursing	-2.32**	-2.27**	-1.52**	-219.3**
Other Health	-0.82	-1.27*	-0.51	-84.9*
Physics	-0.84*	-1.16*	-0.16	-79.1*
Political Science, International Relations and Public	-0.11	-0.62	-0.09	-18.8
Policy				
Psychology	0.24	-0.23	0.40	19.5
Public Health	-0.55	-1.29*	-0.32	-63.0
Pure and Applied Mathematics	0.01	-0.78	-0.40	-16.6

Table 23: OLS regression results of the impact of staff characteristics on research performance by research quality score - associate professors - continued

	Research score			
Variables	RO	PE	CRE	OQS
	coefficients	Coefficients	Coefficients	coefficients
Religious Studies and Theology	а	а	а	а
Sociology, Social Policy, Social Work, Criminology	-0.52	-1.18*	-0.44	-61.0
and Gender Studies				
Sport and Exercise Science	-0.84	-1.44*	-1.46*	-102.6*
Statistics	-0.45	-1.66**	-1.05	-72.6**
Theatre and Dance, Film and Television. and Multimedia	а	а	а	а
Veterinary Studies and Large Animal Science	-0.79	-2.07**	-1.73**	-112.6
Ethnicity (base = European)				
Māori	0.08	0.51	-0.53	5.5
Asian	0.16	-0.26	-0.24	3.3
Other	0.01	-0.22	-0.07	-3.2
Not stated	0.09	0.07	-0.05	6.6
R^2	0.33	0.32	0.32	0.36
Number of observations	660	660	660	660

Notes:

^{1. **}Significant at the 1 percent level *Significant at the 5 percent level.

^{2.} The OLS regressions used data for staff who were panel assessed. Therefore, the results of these regressions should not be compared with analysis that includes data for all PBRF eligible staff.

^{3.} An 'a' indicates that the results have been withheld due to a small number of observations. Sources: Ministry of Education, Tertiary Education Commission.

Table 24: OLS regression results of the impact of staff characteristics on research performance by

research quality score - senior lecturers

	Research score				
Variables	RO coefficients	PE coefficients	CRE coefficients	OQS coefficients	
Constant	-1.32	-9.14*	-10.5**	-384.8	
Age	0.42*	0.85**	0.86**	54.9**	
Age ²	-0.00*	-0.01**	-0.01**	-1.1**	
Age ³	0.00*	0.00**	0.00**	0.0**	
Gender (base = females)	0.06	-0.06	-0.15**	1.2	
FTE status	0.64**	0.80**	1.05**	73.0**	
Provider (base = University of Auckland)					
University of Canterbury	-0.18	-0.27*	-0.35**	-22.1*	
University of Otago	0.02	0.22*	0.17	7.3	
Victoria University of Wellington	-0.16	-0.00	0.01	-11.5	
Lincoln University	-0.86**	-1.10**	-0.78**	-89.0**	
University of Waikato	-0.39**	-0.07	-0.18	-31.2**	
Auckland University of Technology	-1.45**	-1.30**	-1.43**	-143.0**	
Massey University	-0.77**	-0.73**	-0.79**	-77.4**	
Unitec	-1.22**	-1.44**	-1.47**	-129.8**	
Wintec	-2.22**	-2.21**	-2.57**	-227.8**	
	-2.22 -2.29**	-1.59**	-2.13**	-227.0 -216.3**	
Wellington College of Education		-1.87**			
Christchurch College of Education	-2.17**		-1.86**	-211.0**	
Dunedin College of Education	-1.67**	-1.72**	-1.74**	-169.2**	
Whitecliffe College of Drama and Arts	а	a**	а	a**	
Subject (base = Philosophy)					
Accounting and Finance	-1.45**	-1.96**	-1.40**	-152.1**	
Agriculture and Other Applied Biological Sciences	-0.93**	-0.32	0.63*	-61.1*	
Anthropology and Archaeology	-0.51	-0.11	0.69*	-27.6	
Architecture, Design, Planning and Surveying	-1.01**	-0.44	-0.22	-81.3**	
Biomedical	-0.99**	-0.98**	0.09	-83.1**	
Chemistry	-0.42	0.10	0.90**	-14.5	
Clinical Medicine	-1.65**	-1.23**	-0.57*	-142.7**	
Communications, Journalism and Media Studies	-0.61*	-0.66*	-0.23	-56.6*	
Computer Science, Information Technology, Information Services	-0.95**	-0.90**	-0.33	-85.4**	
Dentistry	-2.18**	-1.87**	-1.33**	-198.0**	
Design	-1.37**	-1.77**	-0.48	-130.1**	
Earth Science	-0.69**	-0.20	0.70*	-41.4	
Ecology, Evolution and Behaviour	-0.54*	-0.17	0.73*	-29.3	
Economics	-0.69**	-0.55	0.00	-56.7*	
Education	-1.43**	-1.60**	-0.90**	-138.3**	
Engineering and Technology	-0.75**	-0.46	0.29	-136.3 -55.4**	
English Language and Literature	-0.73	-0.91**	-0.51	-89.2**	
	-0.89**	-1.02**	-0.59*	-87.0**	
Foreign Languages and Linguistics					
History, History of Art, Classics and Curatorial	-0.60*	-0.44	-0.10	-50.3*	
Studies	0.50	0.40	0.40	45.0	
Human Geography	-0.58	-0.46	0.13	-45.8	
Law	-1.47**	-0.83**	-0.65*	-125.4**	
Management, Human Resources, Industrial Relations, International Business and Other	-1.45**	-1.18**	-0.42	-125.6**	
Business	0.40	0.00+	4.00*	24.2	
Māori Knowledge and Development	0.42	0.90*	1.38*	64.0	
Marketing and Tourism	-1.34**	-1.24**	-0.68*	-123.1**	
Molecular, Cellular and Whole Organism Biology	-0.60*	-0.45	0.48	-42.0	
Music, Literary Arts and Other Arts	-0.32	-0.30	-0.32	-31.8	
Nursing	-2.75**	-2.04**	-1.33**	-243.2**	
Other Health	-1.44**	-0.74*	-0.17	-114.9**	
Physics	-0.78**	-0.33	0.80*	-47.9	

Table 24: OLS regression results of the impact of staff characteristics on research performance by research quality score - senior lecturers - continued

	Research score			
Variables	RO	PE	CRE	OQS
	coefficients	coefficients	coefficients	coefficients
Political Science, International Relations and Public	-0.47	-0.93**	-0.70*	-57.9*
Policy				
Psychology	-0.44	-0.07	0.60*	-23.1
Public Health	-1.43**	-1.01**	-0.39	-121.8**
Pure and Applied Mathematics	-0.50	-0.77*	-0.19	-45.6
Religious Studies and Theology	0.03	0.20	0.90	19.4
Sociology, Social Policy, Social Work, Criminology	-0.96**	-0.44	0.14	-72.8**
and Gender Studies				
Sport and Exercise Science	-2.13**	-1.28**	-0.73*	-179.1**
Statistics	-0.58*	-0.87**	-0.43	-60.2*
Theatre and Dance, Film and Television, and	-0.78*	-1.32**	-0.96*	-89.1**
Multimedia				
Veterinary Studies and Large Animal Science	-1.32**	-1.91**	-1.46**	-143.4**
Visual Arts and Crafts	-0.18	-0.74**	-0.56	-32.9
Ethnicity (base = European)				
Māori	-0.27	-0.11	-0.33	-26.0
Pasifika	-0.60	-0.73*	-0.51	-61.3*
Asian	0.00	-0.02	-0.16	-2.4
Other	-0.06	0.04	0.01	-3.7
Not stated	-0.08	-0.06	-0.06	-7.9
R^2	0.38	0.35	0.36	0.41
Number of observations	2,053	2,054	2,054	2,054

Notes:

^{1. **}Significant at the 1 percent level *Significant at the 5 percent level.

^{2.} The OLS regressions used data for staff who were panel assessed. Therefore, the results of these regressions should not be compared with analysis that includes data for all PBRF eligible staff.

^{3.} An 'a' indicates that the results have been withheld due to a small number of observations.

Table 25: OLS regression results of the impact of staff characteristics on research performance by

research quality score - lecturers

	Research score			
Variables	RO coefficients	PE coefficients	CRE coefficients	OQS coefficients
Constant	4.62**	-6.93*	-4.91*	-181.4
Age	-0.01**	0.67**	-0.51**	40.8**
Age ²	_	-0.01**	-0.01**	-0.9**
Age ³	-	0.00**	0.00**	0.0**
Gender (base = females)	0.24**	0.07	0.07	17.1**
FTE status	-0.35	0.07	0.40	-6.7
Provider (base = University of Auckland)				
University of Canterbury	0.01	-0.06	-0.08	-1.4
University of Otago	0.09	0.17	0.23*	12.7
Victoria University of Wellington	-0.08	0.06	-0.06	-6.2
Lincoln University	-0.41	-0.87**	-0.72**	-52.4*
University of Waikato	-0.23	-0.24	0.02	-17.8
Auckland University of Technology	-0.40	0.05	-0.58**	-34.1
Massey University	-0.42**	-0.44**	-0.57**	-43.5**
Unitec	-0.45*	-0.64**	-0.78**	-49.3*
Wintec	-1.02**	-0.73**	-0.86**	-92.6**
Auckland College of Education	-0.55*	-0.73	-0.15	-38.4
Wellington College of Education	-0.55 -1.22**	-0.02 -0.90	-0.15 -1.28**	-36. 4 -118.7**
	-1.22 -1.59**	-0.90 -1.38**	-1.26 -1.43**	
Christchurch College of Education			-	-153.3**
Dunedin College of Education	-1.35**	-1.23*	-0.39	-115.5**
Bible College of New Zealand	а	а	а	а
Te Wānanga o Aotearoa	а	а	а	а
Carey Baptist College	a	a	a	a
Whitecliffe College of Drama and Arts	a*	a**	a*	a*
AIS St Helens	а	а	а	а
Bethlehem Institute of Education	а	а	а	а
Subject (base = Philosophy)				
Accounting and Finance	-1.33**	-1.41**	-1.38**	-132.3**
Agriculture and Other Applied Biological Sciences	-0.73*	-0.41	-0.26	-59.7
Anthropology and Archaeology	-0.48	-0.23	-0.27	-38.2
Architecture, Design, Planning and Surveying	-1.06**	-0.36	-0.32	-81.4*
Biomedical	-0.30	-0.47	-0.30	-29.9
Chemistry	а	a	а	а
Clinical Medicine	-1.45**	-0.74	-0.47	-117.6*
Communications, Journalism and Media Studies	-1.01**	-1.18**	-1.14**	-104.6**
Computer Science, Information Technology,	-0.98**	-0.79*	-0.98**	-94.4**
Information Services	0.00	0.70	0.00	01.1
Dentistry	-1.00	-0.90	-1.58	-101.0
Design	-1.65**	-1.57**	-1.64**	-161.6**
Earth Science	-0.97**	0.03	0.42	-59.7
Ecology, Evolution and Behaviour	-0.41	0.03	-0.07	-24.3
Economics	-1.42**	-1.14**	-0.07 -1.27**	-24.3 -134.8**
	-1.42 -1.34**	-1.14 -1.32**		-134.6 -130.9**
Education			-1.34**	
Engineering and Technology	-0.61	-0.40	-0.60	-58.4*
English Language and Literature	-0.62	-0.66	-1.15**	-71.5*
Foreign Languages and Linguistics	-1.04**	-0.87*	-0.98**	-99.1**
History, History of Art, Classics and Curatorial	-0.38	0.03	-0.43	-32.3
Studies	.		A ===	=
Human Geography	-0.65	-0.30	-0.50	-56.1
Law	-1.58**	-0.86*	-1.32**	-143.1**
Management, Human Resources, Industrial Relations, International Business and Other	-1.36**	-1.06**	-1.20**	-128.4**
Business			<u>.</u>	
Māori Knowledge and Development	0.08	0.53	-0.24	7.4
Marketing and Tourism	-1.49**	-1.30**	-1.30**	-142.4**
Molecular, Cellular and Whole Organism Biology	-1.16	-0.20	-0.56	-22.9

Table 25: OLS regression results of the impact of staff characteristics on research performance by research quality score - lecturers - continued

Toolardii quanty obolo liotalolo dollalliada	Research score			
Variables	RO	PE	CRE	OQS
	coefficients	coefficients	Coefficients	coefficients
Music, Literary Arts and Other Arts	-0.29	0.00	-1.00**	-33.8
Nursing	-1.96**	-1.20**	-0.87*	-161.5**
Other Health	-1.64**	-1.15**	-1.10**	-146.4**
Physics	-0.13	-0.07	-0.39	-17.3
Political Science, International Relations and Public Policy	-0.37	-0.35	-0.92*	-45.3
Psychology	-0.52	-0.10	-0.16	-40.5
Public Health	-0.91*	-0.61	-0.36	-72.5
Pure and Applied Mathematics	-0.10	-0.12	-0.29	-15.1
Religious Studies and Theology	-0.61	-0.86	-1.02	-69.1
Sociology, Social Policy, Social Work, Criminology and Gender Studies	-1.03**	-0.64	-0.55	-89.4**
Sport and Exercise Science	-1.77**	-1.52**	-1.69**	-171.9**
Statistics	-1.27**	-1.08**	-1.50**	-128.2**
Theatre and Dance, Film and Television, and Multimedia	-0.31	-0.67	-1.27**	-60.4
Veterinary Studies and Large Animal Science	-1.39**	-1.87**	-2.04**	-156.6**
Visual Arts and Crafts	0.04	-0.28	-1.31**	-20.1**
Ethnicity (base = European)				
Māori	-0.11	0.31*	0.29	-1.4
Pasifika	0.05	0.59	0.31	17.7
Asian	0.15	-0.02	-0.01	10.0
Other	0.05	-0.10	-0.13	-0.5
Not stated	-0.06	-0.09	-0.12	-7.6
R^2	0.30	0.31	0.30	0.33
Number of observations	1,173	1,173	1,173	1,173

 ^{**}Significant at the 1 percent level *Significant at the 5 percent level.
 The OLS regressions used data for staff who were panel assessed. Therefore, the results of these regressions should not be compared with analysis that includes data for all PBRF eligible staff.

^{3.} An 'a' indicates that the results have been withheld due to a small number of observations. Sources: Ministry of Education, Tertiary Education Commission.

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